# Northwestern

# INFORMATION TECHNOLOGY

# GM

Last update: 6/4/2018

Grants Management reports

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## **GM011 - Sponsored Research Expenditures Report**

Returns Direct Costs, F&A, and Total Costs by Project ID. It can be run for a specific date range by Department, PI, or Award ID. *The direct costs on this report may vary from the GM045 - Sponsored Project Budget Statement because this report does NOT include budget-checked expenses that have not yet been approved.* 

Navigation: School > Sponsored Programs Management

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Accounting Period •	
p <u>ject Type</u> ect one or more Project Types.	
FEDG - Federal Grants and Contracts FFTR - Federal Flow-Through INDCT - Industry Clinical Trials ON - Non-Fed Non-Industry Clinical STATE - State of Illinois Funding	
	Award ID Select one or more Award IDs,
Select all Deselect al	Tip: Only the first 300 results can be displayed. Enter more information
p <u>iect Status</u> : the radio buttons to filter projects based on status.	below and search again to reduce the number of search results.
All Projects	Keywords: Type one or more keywords separated by
Active Projects Only Inactive Projects Only	spaces.
	Searchia Options ∀
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NU Report Inventory – GM

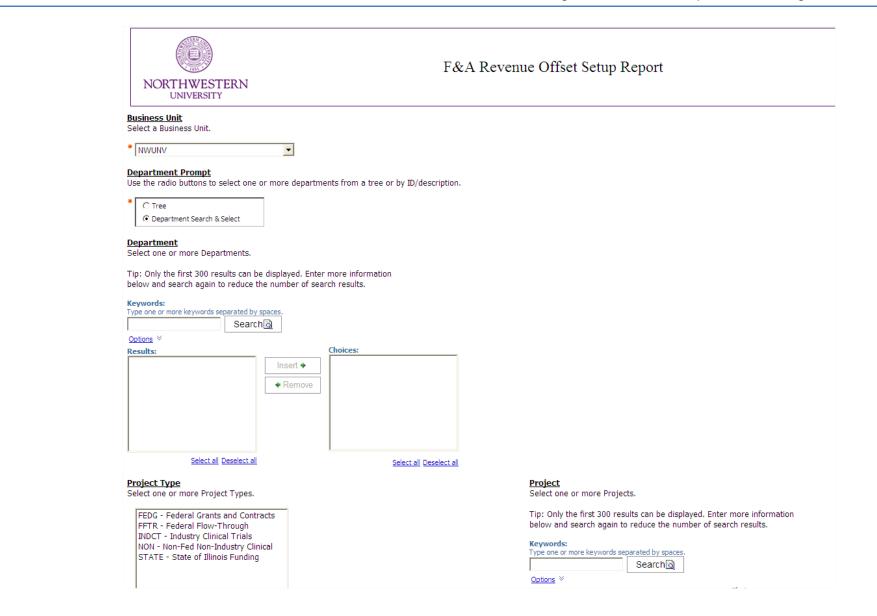
#### Northwestern Sponsored Research Expenditures Report Business Unit: NWUNV Project Type: All Project Status: All Projects Project PI: All Department: All Award ID: SP ML

Project	Award ID	Sponsor	PI	Activity ID	Project Type	Dept ID	Department Description	Fund Code	Fund Description	Direct Costs	F&A Costs	Cumulative Expenses
-		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$54,317.64	\$23,425.47	\$77,743.11
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$17,046.91	\$8,949.73	\$25,996.64
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$251,717.22	\$111,244.58	\$362,961.80
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$354,084.02	\$163,223.14	\$517,307.16
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$137,346.81	\$69,095.19	\$206,442.00
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$79,652.33	\$40,246.67	\$119,899.00
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$227,104.60	\$96,838.87	\$323,943.47
		National Science Foundation	:	01	FEDG			610	Federal Grants and Contracts	\$69,818.20	\$30,244.48	\$100,062.68
		National Science Foundation		01	FEDG			610	Federal Grants and Contracts	\$48,096.75	\$23,554.67	\$71,651.42

## GM014 - F&A Revenue Offset Setup Report

Contains the current demographic data to validate the correct recovery department and percentage has been setup.

Navigation: School > Sponsored Programs Management





# F&A Revenue Offset Setup Report

Business Unit: NWUNV Department: All Project Type: INDCT - Industry Clinical Trials Project: All

Project	Project Description	PI	Project Type	School	Project Dept	Project Dept Description	F&A Beneficiary Dept	F&A Beneficiary Dept Description	% Pledged	Revenue Offset Dept	Revenue Offset Dept Description	FA Base	FA Rate
	angen and a second		INDCT						40.0%			TDC	26.0%
			INDCT						60.0%			TDC	26.0%
			INDCT						40.0%			TDC	26.0%
			INDCT						60.0%			TDC	26.0%
			INDCT						40.0%			TDC	26.09
			INDCT						60.0%			TDC	26.0%
			INDCT						40.0%			TDC	26.09
			INDCT						60.0%			TDC	26.0%
			INDCT						40.0%			TDC	26.09
			INDCT						60.0%			TDC	26.0%
			INDCT						40.0%			NOFA	0.0%

## GM023 - Multi-Project Summary

This report presents summary award and project data in order to facilitate budgetary and expenditure oversight at the award level for multi-project grants and confirm that the sum of the project budgets is equal to the awarded amount.

Navigation: School > Sponsored Programs Management

Business Unit Select a Business Unit.	Bill Type Select one or more Bill Types.
* NWUNV	
NWUNV	- FCT
Sponsor Select one or more Sponsors,	FNC
	INB
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	INM
-	LOC
Keywords: Type one or more keywords separated by spaces.	PPD
Search	SCH
Options V	
Results: Choices:	
Insert 🌩	Select all Deselect all
♦ Remove	Department Prompt
	Use the radio buttons to select one or more departments from a tree or by ID/de
	* Tree
	C Department Search & Select
	Department Tree Node Select one or more Department Tree Nodes.
Select all Deselect all	🔅 : 🗖 🚥 All Departments
Contract Status Use the radio buttons to filter contracts based on status,	
All Contracts	
Active Contracts Only     Pending Contracts Only	
C Inactive Contracts Only	
- <i>"1</i>	
Contract Select one or more Contracts.	
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	
Keywords:	
Type one or more keywords separated by spaces. Search	
Options V	
Results: Choices:	
Insert 🌩	
* Remove	Destinct al



## Multi-Project Summary Report Award to Date as of Sep 6, 2011

Business Unit: NWUNV Bill Type: Al Sponsor: Al

Contract: SP

Ine Contract Status: Active Contracts Only Department: All

Award: Referen Award F Primary

GCFA:

Sponsor: Department of Transportation LOC Doc ID: -Award Dates: 7/1/07 - 8/31/12 Inst

Contract Line Number: 1 Bill Type: INM

Product ID: GRANTS\_REIMBURSABL Billing Limit: \$12,310,500.00 Total Billed: \$9,677,639.53 Total Received: \$0.00

Project	Project Description	Project PI	Project Dates	Project Status	Department	Fund Code	Total Project Budget (A)	Project to Date Expenses (B)	Open Encumbrances (C)	Remaining Balance A-(B+C)
			3/7/08 - 9/30/11	Open		610	\$306,305.42	\$306,305.42	\$0.00	\$0.00
			3/7/08 - 9/30/11	Open		610	\$215,734.12	\$215,734.62	\$0.00	(\$0.50
			3/7/08 - 9/30/11	Open		610	\$296,406.82	\$295,613.93	\$0.00	\$792.89
			3/7/08 - 8/31/11	Open		610	\$73,688.00	\$70,379.39	\$0.00	\$3,308.61
			3/7/08 - 9/30/11	Open		191	\$147,800.00	\$150,024.36	\$0.00	(\$2,224.36
			3/7/08 - 9/30/11	Open		610	\$800,000.00	\$699,692.12	\$45,366.76	\$54,941.12
			3/1/08 - 8/31/09	Open		610	\$97,844.32	\$97,844.32	\$0.00	\$0.00
			8/8/07 - 9/30/11	Pending Close		610	\$217,699.82	\$218, 184.42	\$0.00	(\$484.60
			8/8/07 - 9/30/11	Open		610	\$406,689.00	\$313,045.30	\$32,298.86	\$61,344.84
			8/8/07 - 9/30/11	Open		610	\$1,219,395.00	\$995,535.70	\$16,235.93	\$207,623.37
			8/8/07 - 6/30/11	Open		610	\$414,036.00	\$339,059.94	\$467.97	\$74,508.09

## **GM026 - Project Information Lookup Report**

Gives departments the ability to look up active Projects by department ID or project ID. Specifically, Recharge Centers can determine whether expenses can be charged. Report returns basic demographic data on a project such as Department, Title, PI, and Begin/End Dates.

GM026 - Project Information Lookup Report
Project Select one or more Projects.
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.
Keywords:         Type one or more keywords separated by spaces.
<b>♦</b> Remove
Select all Deselect all Select all



# Project Information Lookup Report

Business Unit: NWUNV Department: Project:

Project **Project Description** Sponsor Project Status PI Departmet ID Department Description Project Start Date Project End Date Pfizer Inc. Open 4/1/07 12/31/19 Genomic Health, Inc. Open 4/1/07 12/31/19 sanofi-aventis U.S. LLC 6/1/07 12/31/19 Open Pfizer Inc. 6/1/07 12/31/19 Open Amgen, Inc. Open 5/11/07 12/31/19 Genentech, Inc. 6/1/07 12/31/19 Open Wyeth Open 4/1/07 3/31/09 Bristol-Myers Squibb Company 6/1/07 12/31/19 Open Novartis Pharmaceuticals Corporation Open 6/1/07 12/31/19 sanofi-aventis U.S. LLC Open 6/1/07 12/31/19 Bard Peripheral Vascular, Inc. Open 6/1/07 12/31/19 Eli Lilly and Company Open 4/1/07 3/31/09 GlaxoSmithKline plc Open 6/1/07 5/31/09 Eisai, Inc. Open 8/1/07 12/31/19 Bristol-Myers Squibb Company Open 6/1/08 5/31/09 Bristol-Myers Squibb Company Open 6/1/08 5/31/09 Bristol-Myers Squibb Company Open 8/1/08 7/31/09 Bayer HealthCare Pharmaceuticals, Inc. Open 8/1/08 7/31/09 Illinois Department of Public Health 7/1/10 6/30/11 Open National Cancer Institute Open 9/1/10 8/31/11

## GM030 - Award Amount Expected

Analysis of awarded proposals that are active during a selected time period by breaking down dollars by funding received, funding by anticipated out years, and funding by option years. Navigation: InfoEd\_Reports > Projected Proposal/Award Trends

NORTHWESTERN UNIVERSITY	Award Amount Expected	
Award Project Period - Proposal activity will be limited to records that fall within the following award project period range.	<b>Department Hierarchy</b> - Please choose one or more department nodes in the department hierarchy below.	
From:         * Sep 6, 2011         To:         * Sep 6, 2011	Department Levels	
Grant Contract Total - Restrict results to proposals with an awarded grant / contract total greater than or equal to the following amount.	Deselect all	



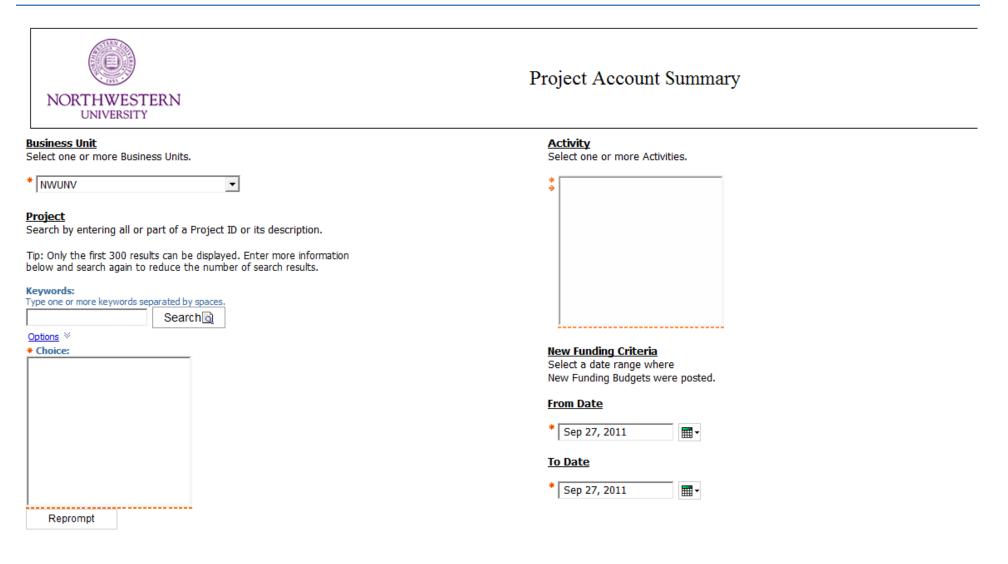
#### Grant/Contract Total: All Department:

## Award Amount Expected Between Aug 1, 2011 and Sep 7, 2011

Awarded Project Total Award Total Award Total Main PI Total Award Total Total Total Total Total Institution Grant / Number Period Direct Costs Indirect Costs Amount Anticipated Anticipated Anticipated Option **Option Year** Option Contract Total Direct Indirect Amount Year Direct Indirect Year Amount Amount Amount Amount Amount 9/1/07 - 8/31/12 \$1,290,080 \$1,291,445 \$1,291,445 \$1,365 \$0 \$0 \$0 \$0 \$0 \$0 9/15/07 - 8/31/11 \$182,736 \$51,437 \$234,173 \$0 \$0 \$0 \$0 \$0 \$0 \$234,173 9/1/07 - 8/31/11 \$132,770 \$38,125 \$170,895 \$0 \$0 \$0 \$0 \$0 \$0 \$170,895 6/1/08 - 5/31/12 \$184,990 \$83,481 \$268,471 \$0 \$0 \$0 \$0 \$268,471 \$0 \$0 6/16/08 - 9/30/12 \$1.590.072 \$413.647 \$2,003,719 \$0 \$0 \$0 \$0 \$0 \$0 \$2,003,719 9/16/08 - 9/15/11 \$50,000 \$0 \$50,000 \$0 \$0 \$0 \$0 \$0 \$0 \$50,000 9/1/08 - 8/31/11 \$3,375 \$0 \$3,375 \$1,125 \$0 \$1,125 \$0 \$0 \$0 \$4,500 9/1/09 - 8/31/14 \$183,019 \$50,832 \$233,851 \$121,621 \$44,527 \$166,148 \$0 \$0 \$0 \$399,999 6/1/09 - 5/31/12 \$227,923 \$102,077 \$330,000 \$0 \$0 \$0 \$0 \$0 \$0 \$330,000 8/1/09 - 7/31/12 \$98.360 \$51,639 \$149,999 \$0 \$0 \$0 \$0 \$0 \$0 \$149,999 7/15/09 - 6/30/13 \$216,344 \$95,998 \$312,342 \$74,908 \$32,749 \$107,657 \$0 \$0 \$0 \$419,999 8/1/09 - 7/31/12 \$59,376 \$31,173 \$90,549 \$0 \$0 \$0 \$0 \$0 \$0 \$90,549 9/1/09 - 8/31/12 \$130,218 \$59,780 \$189,998 \$0 \$0 \$0 \$0 \$189,998 \$0 \$0 9/15/09 - 8/31/12 \$154,999 \$0 \$0 \$0 \$0 \$101,639 \$53,360 \$0 \$0 \$154,999 9/1/09 - 8/31/11 \$70,707 \$34,293 \$105,000 \$0 \$0 \$0 \$0 \$0 \$0 \$105,000 9/15/09 - 8/31/11 \$48,000 \$48,000 \$0 \$0 \$0 \$0 \$0 \$0 \$48,000 \$0 9/16/09 - 9/15/12 \$0 \$50,000 \$0 \$50,000 \$0 \$0 \$0 \$0 \$0 \$50,000 6/1/10 - 5/31/13 \$87,261 \$42,183 \$129,444 \$46,071 \$22,590 \$68,661 \$0 \$198,104 \$0 \$0 \$0 9/15/10 - 8/31/13 \$140,095 \$58,701 \$198,796 \$71,600 \$29,604 \$101,204 \$0 \$0 \$300,000 7/1/10 - 6/30/12 \$79,500 \$0 \$0 \$0 \$79,500 \$79,500 \$0 \$0 \$0 \$0

## **GM033 - Project Account Summary**

Distributed by the central offices to notify PI's and Business Managers that the Award and Project have been set up in PeopleSoft and spending can begin on the project as well as notifying them of key changes to existing projects. Basic demographic data about the Award and Project is displayed along with the posted budget amounts broken out by Account and Activity. Real-time report. Navigation: School > Sponsored Programs Management



Evanston 2-502 Crown Center 633 Clark Street (847) 491-3003

## Northwestern University Office for Sponsored Research PROJECT ACCOUNT SUMMARY

Chicago 7th Floor - Rubloff Building 750 N.Lake Shore Drive (312) 503-7955

## Award Information - CNV0043354

Title: Award PI: Sponsor Ref Award #: Sponsor: Flow Through:	National Institute of General Medical Sc	New Cost Share Funding: FDP/RTC: Clinical Trial:	\$217,570.00 \$0.00 Yes No
-		CFDA:	93.859

## **Cumulative Award Budget to Date**

Direct Costs Budget	F&A Costs Budget	Total Cost Share Budget	Total Budgeted
\$965,673.24	\$48,115.39	\$0.00	\$1,013,788.63

## Chartstring Information

Type	Project ID	Department	Fund
Sponsored			610

## Project Details -

Project Title: Department Description Department: Project PI: Project Dts:		

## Activity: 01

F&A Rate: F&A Base: 8 TRAIN

## **Chartstring Information**

## Sponsored Project Budget Detail - Activity 01 Budget Period Ending 8/31/11

Description	Department	Fund	Activity	New Funding	Previous Funding	Cumulative Budget to Date
Consultant Services		610	01	\$0.00	\$0.00	\$0.00
Equip Under \$5000		610	01	\$0.00	\$0.00	\$0.00
Fringe Benefits		610	01	\$0.00	\$0.00	\$0.00
Library Materials, Restricted		610	01	\$0.00	\$0.00	\$0.00
NU Restricted Budget		610	01	\$17,142.85	\$4,324.20	\$0.00
Services		610	01	\$0.00	\$0.00	\$0.00

GM033 v10.3

Run Date: 9/27/11 Run Time: 10:45:36 AM Evanston 2-502 Crown Center 633 Clark Street (847) 491-3003

## Northwestern University Office for Sponsored Research PROJECT ACCOUNT SUMMARY

Chicago 7th Floor - Rubloff Building 750 N.Lake Shore Drive (312) 503-7955

Description	Department	Fund	Activity	New Funding	Previous Funding	Cumulative Budget to Date
Services, Restricted		610	01	\$0.00	\$0.00	\$0.00
Sponsor Restricted Budget		610	01	\$0.00	\$0.00	\$0.00
Stipend		610	01	\$104,880.00	\$308,118.00	\$501,248.00
Supplies		610	01	\$12,567.90	\$49,014.27	\$77,066.78
Travel, Domestic		610	01	\$9,900.02	\$6,255.32	\$23,127.46
Travel, Foreign		610	01	\$0.00	\$0.00	\$0.00
Travel, Participant Sup Cost		610	01	\$0.00	\$0.00	\$0.00
Tuition		610	01	\$62,892.00	\$221,339.00	\$364,231.00
		Sub	total Direct Costs	\$207,382.77	\$589,050.79	\$965,673.24
F&A	610		01	\$10,187.23	\$29,071.21	\$48,115.39
	Subtota	Sponsored Proj	ject Budget Detail	\$217,570.00	\$618,122.00	\$1,013,788.63

## Total Project Activity 01 Budget

\$217,570.00	\$618,122.00	\$1,013,788.63

## Total Project Budget

\$217,570.00	\$618,122.00	\$1,013,788.63

## Prepared By:

## Appropriation Notes

This institution is a signatory to the Federal Demonstration Partnership (FDP) Phase IV Agreement which requires active institutional participation in new or ongoing FDP demonstrations and pilots. Carry over of an unobligated balance into the next budget period requires Grants Management Officer prior approval.

This award reflects use of an unobligated balance of \$21,467 based on the year 16 Financial Status Report (FSR). Although this award reflects an adjustment, this is not a decrease in funding for the project.

In accordance with the November 22, 2005 letter, information regarding the program's minority recruitment plan and its implementation must be included in each non-competing continuation application. New efforts and results should be reported.

It is understood that no trainee will be permitted to work on any project involving live vertebrate animals or human subjects that has not been approved by the IACUC and/or IRB, as appropriate.

This award includes funds to support 5 trainees (60 Trainee Months). The maximum number of trainees that may be appointed without the prior written approval of NIGMS staff is 6 (72 Trainee Months) if funds are available through rebudgeting.

Conflict of Interest Reminder: All investigators must update conflict of interest disclosures as new reportable conflicts of interest are discovered. Such disclosures should be made in a timely manner in order to maintain compliance with sponsor requirements. Investigator is defined as the PI, co-PI, or any other person responsible for the design, conduct, or reporting or activities related to this project. The updated disclosure should be made on an OSR-100 form, available at http://www.research.northwestern.edu/research/osr/forms.html

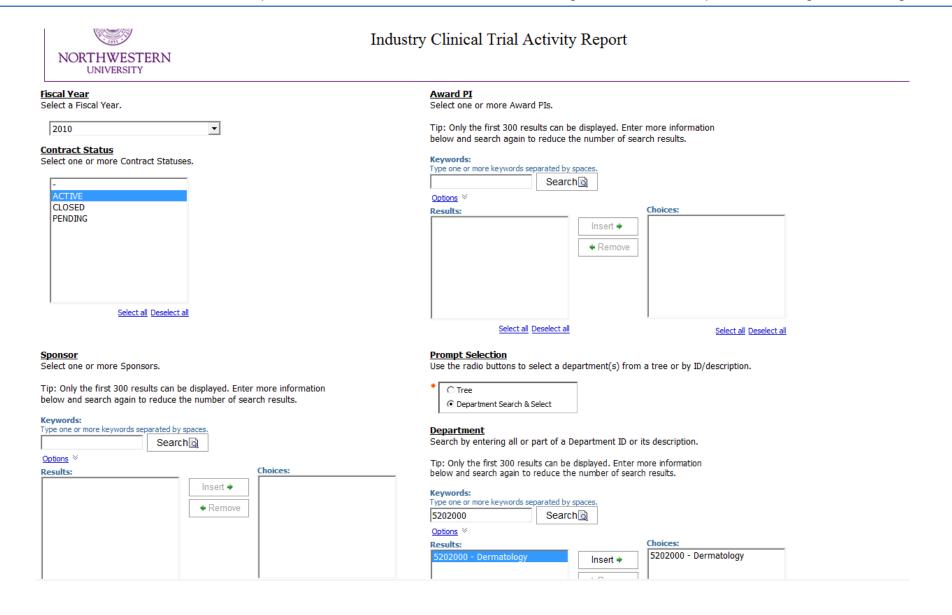
GM033 v10.3

- 2 -

Run Date: 9/27/11 Run Time: 10:45:36 AM

## **GM035 - Industry Clinical Trial Activity Report**

Shows clinical trial activity by Fiscal Year, Sponsor, Department and PI. The activity displayed compares the Total Maximum Award Amount to the Cumulative Expenses to the Total Cash Received. Navigation: School > Sponsored Programs Management





# Industry Clinical Trial Activity Report

Fiscal Year: 2010 Sponsor: All Contract Status: ACTIVE Department: Award PI:

Award ID	Description	Sponsor Reference Award #	Sponsor Name	Award PI	Department	Primary Project ID	Project Period	F&A%	Max Award Amount	Cumulative Expenses	Total Cash Received	Fiscal Year
CNV0045283	Prot# FHI-03-0-161 / FG- 506-06	FHI-03-0-161 / FG-506-06-37	Jubiliant Clinsys, Inc.				7/28/05 - 12/1/11	26.0%	\$948,500.00	\$1,890.00	\$29,000.00	2010
CNV0045964	Prot# 20050111: An Open-Label	20050111	Immunex Corporation				9/29/05 - 12/31/11	25.0%	\$299,640.81	\$31,616.25	\$19,983.60	2010
CNV0046159	Prot# ACD3101g: Raptva® Epide	ACD3101g	PPD Development				1/10/06 - 10/1/11	26.0%	\$64,250.00	\$0.00	\$295.00	2010
CNV0046784	Prot:The Impact of Treating St	Agmt 10/18/05	Johnson & Johnson				10/18/05 - 9/1/11	26.0%	\$20,034.00	\$5,561.01	\$0.00	2010
CNV0058157	Prot# 2005NMMF-201-US: A Phase	2005NMMF-201-US	Yaupon Therapeutics, Inc.				6/13/07 - 2/1/12	26.0%	\$592,443.00	\$32,310.41	\$52,094.13	2010
SP0000466	Tadalafi for the Treatment of	Agmt 5/19/08	Lilly ICOS LLC				5/19/08 - 11/1/11	26.0%	\$54, 194.00	\$11,513.63	\$12,600.00	2010
SP0001279	Prot# SR06-1029: Phase II Rand	`SR06-1029	Bayer HealthCare Pharmaceuticals, Inc.				5/22/08 - 12/31/10	26.0%	\$146,172.00	\$20,272.79	\$27,216.00	2010
SP0001582	Prot# HBS701: A Phase 1, Multi	HBS701	Camargo Pharmaceutical Services, LLC				2/14/08 - 2/14/14	26.0%	\$176,353.00	\$37,307.32	\$0.00	2010
SP0001875	A Multicenter, Open- Label, Con	POX-MVA-008 // HHSN266200400072C	Bavarian Nordic A/S				3/1/08 - 2/28/09	26.0%	\$141,259.90	\$16.10	\$9,884.46	2010
SP0003499	Prot# 2007NMMF-202-US: An Ope	2007NMMF-202-US	Yaupon Therapeutics, Inc.				8/7/08 - 11/1/11	26.0%	\$79,995.00	\$27,591.39	\$25,957.46	2010
SP0003660	Prot# RD.03.SPR.29075: Efficac	RD.03.SPR.29075	Galderma Laboratories, Inc.				7/12/08 - 12/31/19	25.0%	\$38,293.17	\$563.00	\$9,838.22	2010
SP0004216	Prot# C0168203: A Multicenter	C0168Z03	REGISTRAT, Inc.				8/15/08 - 3/2/14	26.0%	\$86,940.00	\$7,391.41	\$12,888.62	2010
SP0004438	Prot# SHH4476g: A Pivotal Pha	Prot# SHIH4476g	Genentech, Inc.				2/16/09 - 2/16/12	26.0%	\$538,676.00	\$16,201.87	\$37,442.00	2010
SP0005820	Prot#CA-P-6397-1: A Multi-Cent	Prot# CA-P-6397-1	INC Research				4/9/09 - 4/9/12	26.0%	\$74,889.44	\$3,455.22	\$17,272.36	2010
SP0005825	Prot# MCB 0501 INT: Safety and	Prot# MCB 0501 INT	Health Decisions Inc.				5/28/09 - 5/28/12	25.0%	\$65,562.00	\$1,209.51	\$4,178.16	2010
SP0005999	Prot# PG-041108: Utility of	Prot# PG-041108	Abbott Laboratories				4/23/09 - 4/23/12	25.0%	\$95,000.00	\$5,745.47	\$12,500.00	2010
								Total	\$3,735,455.10	\$202,645.38	\$271,150.01	1

## **GM044 - Sponsored Project Portfolio**

Used by PI's, Department Administrators, and ASRSP to view budget balances by project and by project/budget category. Navigation: School > Sponsored Programs Management

NORTHWESTERN UNIVERSITY	GM044 - Sponsored Project Portfolio					
Business Unit Select a Business Unit.	Department Prompt Use the radio buttons to select one or more departments from a tree or by ID/description.					
Date Options Select a <u>date option</u>	Department Search & Select Department Tree Node Select one or more Department Tree Nodes.					
Current Period Previous Period © User Defined Period	😓 🗂 🚥 All Departments					
Fiscal Year Select a Fiscal Year.						
Fiscal Year						
Select an Accounting Period.						
Manager/Reviewer Search by entering all or part of a Manager/Reviewer Name or NetID. More information: <u>ChartField Managers Job Aid (pdf)</u>						
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.						
Keywords:         Type one or more keywords separated by spaces.         Searchig	Deselect all					
Options <sup>⊗</sup> Results: Choice:	<u>Project Status</u> Use the radio buttons to filter projects based on status.					
Insert Remove	All Projects  Active Projects Only  Inactive Projects Only					

Select all Deselect all	<u>Project</u> Select one or more Projects. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.
Award ID Select one or more Award IDs. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	Keywords:         Type one or more keywords separated by spaces.         Search III         Options
Keywords:         Type one or more keywords separated by spaces.         Search          Options	Results:     Choice:       Insert →
Results: Choice:	
	Select all Deselect all Select al
Select all Deselect all	
Negative Balance Only Check this prompt to only display projects with a Negative Balance only.	
Optional Columns	

		ESTER SITY	Ν			-	F	or Fiscal	ject Por Year 2016 d 8 - April 2						Accountin Busin Manager/R A Dep	ward ID: artment: All t Status: Active F Project: All	Period		
Departmer PI:	it:	_																	
Project	Activity 01	Description Subproject for In	Award ID SP(	Sponsor Department of Energy	Sponsor Reference Award # DE- EE0006449	FAIN DE- EE0006449	Fund Code 610		-		Project End Date		(A) Cumulative Budget To Date \$185,009.0	Direct O Expenditu	ires Encumbra	nce Expenditure			A- (B+C+D+E) Available Balance \$65,405.44
									Total	for		ł	\$185,009.0	0 \$75,368	\$2,044	.50 \$41,076.3	0 \$1,114.2	5 \$47,454.99	\$65,405.44
Departmer	nt: 47340	000 MCC Me	chanical Eng	gineerng															
PI: Chung,	Yip-Wah	1																	
Project	Activity	Description	Award ID	Sponsor	Sponsor Reference Award #	FAIN	Fund Code	Catego	Activity ory Start Date	Activity End Date	Project End Date	F8.A%	(A) Cumulative Budget To Date	(B) Direct C Expenditu			(E) F&A s Encumbranc	Total Remaining e Directs	A- (B+C+D+E) Available Balance
	01	Subproject for I	SPC	Department of Energy	DE- EE0006449	DE- EE0006449	610	Sponsor	10/1/2013	4/30/17	4/30/2017	54.50%	\$170,227.0	0 \$62,27	7.76 \$9,114	1.86 \$33,325.1	.8 \$4,967.6	0 \$49,028.38	\$60,541.60
									Tota	l for			\$170,227.0	0 \$62,277	.76 \$9,114	.86 \$33,325.1	8 \$4,967.6	\$49,028.38	\$60,541.60
PI:																			
Project	Activity	Description	Award ID	Sponsor	Sponsor Reference Award #	FAIN	Fund Code	Category S	Activity En Start Date Da	dí Pn	oject Date F&	B		(B) Direct Cost xpenditures	(C) Direct Cost Encumbrance	(D) F&A Expenditures	(E) F&A Encumbrance	Total Remaining Directs	A- (B+C+D+E) Available Balance
	01	A Novel Lubricant Formulation	SPC	Department of Energy	DE- EE0006449	DE- EE0006449			10/1/2013 4/30	/17 4/30	/2017 54.9	0% \$	163,041.00	\$76,787.77	\$14,616.18	\$37,301.42	\$7,965.82	\$24,366.05	\$26,369.81
									Total	for		\$1	63.041.00	\$76.787.77	\$14,616,18	\$37,301,42	\$7.965.82	\$24,366.05	\$26.369.81

**GM045 - Sponsored Project Budget Statement** Used by PI's, Dept. Admins, and ASRSP to review the status of direct and indirect expenditures against the total budget amount along with project demographic data. Navigation: School > Sponsored Programs Management

NORTHWESTERN UNIVERSITY	GM045 - Sponsored Project Budget Statement
Date Options         Select a date option         Ourrent Period         Previous Period         Ourrent Period         Fiscal Year         Select a Fiscal Year         *         Fiscal Year         *         Accounting Period         *         Accounting Period	Department Prompt         Use the drop down list to select one or more departments from a tree or by ID/description.         Tree (As of Today)         Department Tree Node         Select one or more Department Tree Nodes.         Image: Compartment Select one or more Departments
Manager/Reviewer Search by entering all or part of a Manager/Reviewer Name or NetID. More information: <u>ChartField Managers Job Aid (pdf)</u> Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	
Keywords:         Type one or more keywords separated by spaces.         Search          Options	
Results: Choice:	Deselect all Project Status Use the radio buttons to filter projects based on status.
Select all Deselect all	All Projects All Projects Only Inactive Projects Only

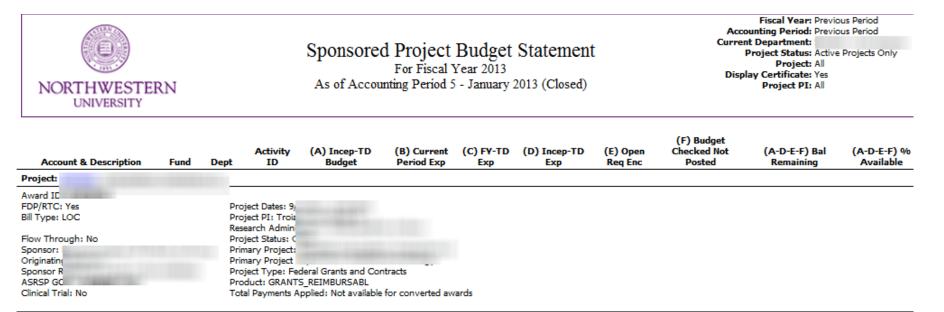
Display Certification Note Do you want to Display Certification Note? (Yes/No)



## Project Select one or more Projects.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.





#### Activity ID: 01 Budget Period Ending 9/29/10

F&A Rate and Base: 51.00% MTDCN											
Sponsor											
77500 Capital Equipment	01	\$0.00	\$0.00	\$0.00	\$112,064.45	\$0.00	\$0.00	(\$112,064.45)	0.00%		
* 77560 Scientific Instr-Capital			\$0.00	\$0.00	\$112,064.45	\$0.00	\$0.00				
78021 Tuition	01	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%		
78630 Other Expenses	01	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%		
	otal Direct:	\$548,585.32	\$0.00	\$0.00	<u>\$582,969.43</u>	\$0.00	\$0.00	(\$34,384.11)	-6.27%		
78700 F&A	01	\$280,829.39	\$0.00	\$0.00	\$240,398.20	\$0.00	\$0.00	\$40,431.19	14.40%		
* 78710 Indirect Cost - Sponsored			\$0.00	\$0.00	\$240,398.20	\$0.00	\$0.00				
	Total Direct & F&A:	<u>\$829,414.71</u>	\$0.00	\$0.00	<u>\$823,367.63</u>	\$0.00	\$0.00	\$6,047.08	0.73%		
Total for Sponsor Direct, Indirect & Re	estricted:	<u>\$829,414.71</u>	\$0.00	\$0.00	<u>\$823,367.63</u>	\$0.00	\$0.00	\$6,047.08	0.73%		
Total for A	ctivity 01	\$829,414.71	\$0.00	\$0.00	\$823,367.63	\$0.00	\$0.00	\$6,047.08	0.73%		
Total for Project		\$829,414.71	\$0.00	\$0.00	\$823,367.63	\$0.00	\$0.00	\$6,047.08	0.73%		

\* Excluded from F&A Calculation

F & A amounts in columns E & F are calculated for this report

# Project drill through (GL076):

Fund: Department: Project:

Department Manager: -Project Manager:

Account / Transaction Type	Transaction ID	Line/Schedule/ Distribution	Budget Check Date	Description	Pre-Enc/Enc	Account Beginning Balance	Transactions	Account Ending Balance	GL Post Date
Revenue - Current Pe	riod								
40325 Grants Priva	ate - Restricted				\$0.00	\$0.00	\$0.00	\$0.00	
40331 Private Con	tracts-Restricted				\$0.00	(\$9,050.00)	\$0.00	(\$9,050.00)	
			Revenue	- Current Period Ending Balances	\$0.00			(\$9,050.00)	
Expense Budget Activ	ity								
60010 Academic P	Personnel				\$0.00	\$0.00	\$0.00	\$0.00	
60100 Non-Acader	mic Personnel				\$0.00	\$0.00	\$0.00	\$0.00	
60180 Fringe Bene	fits				\$0.00	\$0.00	\$0.00	\$0.00	
60200 Salaries Re	stricted				\$0.00	\$0.00	\$0.00	\$0.00	
60210 Non-Acader	mic Temp, Work Stud	v			\$0.00	\$0.00	\$0.00	\$0.00	
72900 Industry Cli	inical DC Budget				\$0.00	\$0.00	\$752,777.30	\$752,777.30	
Project Budget							751,587.30		
Project Budget							1,190.00		
73000 Supplies					\$0.00	\$0.00	\$0.00	\$0.00	
75000 Services					\$0.00	\$0.00	\$0.00	\$0.00	
75002 Services, Re	estricted				\$0.00	\$0.00	\$0.00	\$0.00	
75722 Outpatient	Care Costs				\$0.00	\$0.00	\$0.00	\$0.00	
76761 Travel, Don	nestic				\$0.00	\$0.00	\$0.00	\$0.00	
78641 Subcontrac	t < 25000				\$0.00	\$0.00	\$0.00	\$0.00	
78651 Subcontrac	t > 25000				\$0.00	\$0.00	\$0.00	\$0.00	
78664 Human Sub	ject Costs				\$0.00	\$0.00	\$0.00	\$0.00	
78700 F&A					\$0.00	\$0.00	\$195,722.70	\$195,722.70	
Project Budget							195,412.70		
Project Budget							310.00		
78765 Protocol De	evelopment Fee				\$0.00	\$0.00	\$0.00	\$0.00	
			Expense	Budget Activity Ending Balances	\$0.00			\$948,500.00	

## GM047 - Milestone (Deliverable) Report

Used by department administrators and PIs in tracking upcoming deliverable due dates to assist in meeting sponsor and Navigation: School > Sponsored Programs Management institutional requirements.

NORTHWESTERN	N	Milestone (Deliverable) Report	
Due Date From.         Select a Due Date From.         *       Sep 27, 2011         Image: Contractor Select and a signment         CREL - Contractor's Release         EPAWM - EPA Women & Minority-Owned Rpt         FSRFI - Final Financial Status Report         FSRIN - Interim Financial Status Report         PATEN - Patent Report         PICRT - PI Certification         PROG - Progress Report         PROFT - Property (Equipment) Report         SBSKP - Small Business SubK Plans         TECHR - Technical Report         V	Due Date To Select a Due Date To. * Sep 27, 2011	Department Prompt   The area in buttoms to select a department(s) from a tree or by ID/description. Image: Constant a select   Department   Options   Results:   Image: Choices:   Image: Choices:   Security I and the select	
Award		Award PI	

## Select one or more Awards.

Tip: Only the first 300 results can be displayed. Enter more information

below and search again to reduce the number of search results.

## Award PI

Select one or more Award PIs.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.



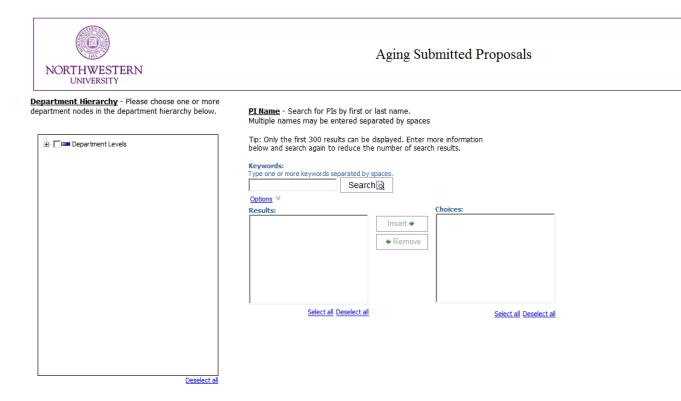
# Milestone (Deliverable) Report Milestone Due Date Range: From Aug 1, 2011 to Sep 27, 2011

ASRSP GCFA	OSR GCO	Award ID	Primary Project ID	PI Name	Department	Award Start Date	Award End Date	Sponsor Name	Award Title	Milestone Type	Due Date	Comments
Suseela Gopikanth	Darville-Layne,Tyra Patrice				-	7/1/08	6/30/11	AHAMA	The Effect of Feedback Dimensionality and Content on Motor Learning	Final Financial Status Report	8/31/11	
Suseela Gopikanth	Darville-Layne,Tyra Patrice					7/1/09	6/30/11	АНАМА	A Novel Paradigm for Localized, Sustained Nitric Oxide Delivery and Induction	Final Financial Status Report	8/31/11	
Suseela Gopikanth	Hollins,Tina L				-	7/1/06	6/30/11	ACS	RAM Network Control of Cell Architecture and Transcription	Final Financial Status Report	8/31/11	
Suseela Gopikanth	Hollins, Tina L					7/1/09	6/30/11	AHAMA	Function of Condensin Structural Maintenance of Chromosomes Complexes in Mitotic Chromosome Condensa	Final Financial Status Report	8/31/11	
Suseela Gopikanth	Genio- Terrado, Glenda L					7/1/11	6/30/14	KECK	Establishing New Classes of Tunable Two-Dimensional Nanomaterials via Atomically Precise Chemical Mo	Final Financial Status Report		
Suseela Gopikanth	Beglinger,Christine M					6/1/10	6/1/12	SLOANF	Sloan Industry Studies Fellowship	Interim Financial Status Rpt	8/1/11	
Suseela Gopikanth	Beglinger,Christine M					7/1/08	6/30/12	KECK	The Inorganic Signature of Life: Signaling Pathways in the Mammalian Oocyte	Interim Financial Status Rpt	8/31/11	
Suseela Gopikanth	Darville-Layne,Tyra Patrice					7/1/08	6/30/11	AHAMA	The Effect of Feedback Dimensionality and Content on Motor Learning	Technical Report	8/31/11	

Department: All Milestone Type: All Award PI: All Award: All

## **GM049 - Aging Submitted Proposals**

Analysis of the proposals that are pending for a PI, school, or department. Sorted by the number of days since submitted, the report gives the ability to see a list of proposals which may not have been funded. Navigation: InfoEd\_Reports > Proposal Aging



#### Grant Contract Officer - Select one or more GCOs.

Beglinger, Christine M
Chao, Eugenie
Darville-Layne, Tyra
Ferguson, Michael S
Gale, Sally S
Genio-Terrado, Glenda L
Hawkins, Pamela D
Hill, Jennifer L
Hollins, Tina
Logaras, Stephanie M
Simon-Flowers, Jacqueline Rosalin 💌

Select all Deselect all



#### Dept Tree: Al PI: Al Grant Contract Officer: S

# Aging Submitted Proposals

Institution Number	GCO	Main PI	Proposal Title	School	Department	Sponsor	Originating Sponsor	Proposed Project Begin	Proposal Status	Total Proposed Costs	Proposal Due Date	Proposal Submit Date	Days since Submitted
	-		Prot# 0903X-100753: Phase II Trial of Mylotarg as a First Line Therapy in Acute Myeloid Leukemia in Patients Age 65 or Older (NU Prot# NU 00H4)		-	Wyeth	Wyeth		Submitted	\$0	1/1/00	1/1/90	7,939 Days
		sc	Microfabrication and Molecularly Designed Self- Assembling Materials for Tissue Regeneration (Year 1)			Baxter Healthcare Corporation	Baxter Healthcare Corporation	12/1/05	Negotiated Pending Administrative	\$137,500	2/7/06	4/1/06	2,005 Days
		vin	Prot# H6D-MC-LVHG(a): A Rndmzd, Dbl-Blind, Placebo-Controlled, Parallel-Design, 5-Group, Multinational Study to Evaluate the Efficacy, Dose Response, & Safety of Tadalafil 1x-a-Day Dsng for 12 Wis in Men w/Signs & Symptoms of Benign Prostatic Hyperplasia			ully ICOS LLC	Lily ICOS LLC	2/15/07	Negotiated Pending Administrative	\$150,499	1/1/00	2/21/07	1,679 Days
			Development of a Novel Anti-Neuroinflammatory AD Therapeutic			National Institute on Aging	National Institutes of Health	12/1/07	Submitted	\$302,000	7/16/07	7/11/07	1,539 Days
		L	A Novel Role for Lck in Th2 Differentiation			American Heart Association Midwest Affiliate	American Heart Association Midwest Affiliate	1/1/08	Submitted	\$132,000	7/12/07	7/11/07	1,539 Days
			Role of Pattern Recognition Receptors in Prostate Epithelial Inflammation			National Institutes of Health	National Institutes of Health	4/1/08	Submitted	\$537,132	7/12/07	7/12/07	1,538 Days
			Therapy Response Prediction In High-Grade Gliomas Based On A Network Of Endogenous Modulators Of Nuclear Factor-KappaBeta			National Brain Tumor Foundation	National Brain Tumor Foundation	1/1/08	Submitted	\$50,000	7/15/07	7/13/07	1,537 Days
			Research on Adverse Drug Events and Reports II			National Institutes of Health	National Institutes of Health	4/1/08	Submitted	\$3,785,644	7/5/07	7/19/07	1,531 Days

## GM052 - Faculty Proposal Activity

Proposal activity for a specified group of faculty.



Select next to configure additional prompts for your report or finish to view results.

Department Hierarchy - Please choose one or more department nodes in the department hierarchy below.

Left side:



## Faculty Proposal Activity Between Aug 1, 2011 and Sep 27, 2011

Institution Number	Main P1	Researchers	School	Submitting Department	Title	Sponsor	Originating Sponsor	Sponsor Type
				-	The Dolores Zohrab Liebmann Fellowship (Anne Koenig)	The Dolores Zohrab Liebmann Fund	The Dolores Zohrab Liebmann Fund	Foundations
					Molecular Basis of Somatic Sensation	National Institute of Neurological Disorders and Stroke	National Institute of Neurological Disorders and Stroke	Federal Agencies
					Insm1 in Development of Spiral and Vestibular Ganglia	National Institute on Deafness and Other Communication Disorders	National Institute on Deafness and Other Communication Disorders	Federal Agencies
					Elucidating the Role of Cochlear Type II Afferents in Auditory Nociception	National Institute on Deafness and Other Communication Disorders	National Institute on Deafness and Other Communication Disorders	Federal Agencies
					Integrated Discovery and Validation of Cancer Targets	University of Alabama at Birmingham	National Cancer Institute	Educational Institutions
					Pre-clinical Studies of Breast Cancer Prevention With Transdermal Anti- progesterone Agents	Breast Cancer Research Foundation	Breast Cancer Research Foundation	Voluntary Health and Medical Organization
					NRSA Fellowship for Elizabeth Tarasewicz in Support of: The Dichotomous Role of TGF- Beta/Smad3 Signaling in Breast Cancer	National Institutes of Health	National Institutes of Health	Federal Agencies
					Patient: Safety Education Program - Hospital Engagement Contractor	Joint Commission Resources, Inc.	Centers for Medicare & Medicaid Services	Educational Institutions
					Systems Biology Guided Structural Targets of Cancer Vulnerability	National Cancer Institute	National Cancer Institute	Federal Agencies
					Preconception Evaluation of Women with Heart Disease: Clinical Outcome of Subsequent Pregnancies for Mother and Baby	Northwestern Memorial Foundation	Northwestern Memorial Foundation	Hospitals an Medical Centers

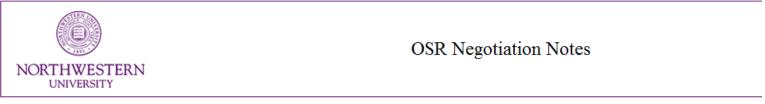
Right side:

#### Employee ID: Al Dept Tree: Al PI: Al

Sponsor Award No	Proposal Submit Date	Proposed Project Begin	Proposed Project End	Proposal Type	Proposal Status	Total Direct Cost Proposed	Total Indirect Costs Proposed	Total Proposed to Sponsor
Letter 5/6/11	8/30/11	9/1/11	8/31/14	New	Awarded QA Check Complete	\$18,000.00	\$0.00	\$18,000.00
	8/4/11	3/1/12	2/28/13	Supplemental Request	Submitted	\$65,574.00	\$34,426.35	\$100,000.35
	8/8/11	12/1/11	11/30/14	New	Submitted	\$118,992.00	\$0.00	\$118,992.00
	8/8/11	1/1/12	8/1/13	Revised	Submitted	\$62,770.00	\$0.00	\$62,770.00
	8/12/11	4/1/12	3/31/17	New	Submitted	\$178,647.00	\$93,789.89	\$272,436.85
Agmt signed 9/6/11	9/6/11	10/1/11	9/30/12	New	Awarded	\$125,000.00	\$25,000.00	\$150,000.00
	8/5/11	4/1/12	3/31/15	New	Submitted	\$236,760.00	\$0.00	\$236,760.00
	8/3/11	10/1/11	9/30/14	New	Submitted	\$685,246.00	\$359,754.15	\$1,045,000.15
	8/21/11	4/1/12	3/31/17	New	Submitted	\$3,750,000.00	\$1,351,355.78	\$5,101,355.78
	8/23/11	9/1/11	8/30/12	New	Submitted	\$25,000.00	\$0.00	\$25,000.00

## **GM054 - Negotiation Notes Report**

Status and note history of the contract negotiations between Northwestern and the sponsor for a particular proposal. Real-time report Navigation: InfoEd\_Reports > Negotiation Notes



**Institution Number** - Enter a parent institution number to view a status and note history of contract negotiations between Northwestern and a sponsor.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

Keywords:	
Type one or more keywords sep	arated by spaces.
	Search
Options ×	
* Choice:	
J	



# OSR Negotiation Notes

Institution Number	
Main PI	
Title	
Department	
Sponsor	Brigham and Women's Hospital
Prime	National Heart, Lung, and Blood Institute
Total Proposed	\$3,636,047
Proposed Project Begin	7/1/08
Proposed Project End	6/30/13

Negotiation Number	Negotiator	Institution, if Applicable	Negotiation Status	Comment Date	Comment
1		Alaska Cardiovascular Research	Started	6/9/09	Rec'd OSR-6. Prepared agreement. To BE to sign.
2		Allegheny-Singer Res Inst	Started	6/9/09	Rec'd OSR-6. Prepared agreement. To BE to sign.
		Allegheny-Singer Res Inst	Pending Return of Subcontracts	9/9/09	Signed by BE.
3		Emory University	Started	6/9/09	Rec'd OSR-6. Prepared agreement. To BE to sign.
		Emory University	Pending Return of Subcontracts	9/9/09	Signed by BE.
		Rocky Mountain Cardiovascular	Started	6/9/09	Rec'd OSR-6. Prepared agreement. To BE to sign
5		Cardiovascular Associates Ltd	Started	6/9/09	Rec'd OSR-6. Preapred agreement. To BE to sign.

## GM055 - Negotiation Status Report

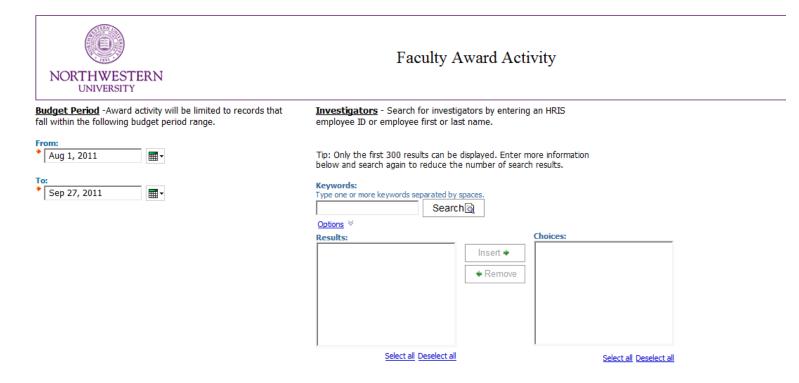
List of proposals with all associated contract negotiations along with the most recent status, comment and comment date. Navigation: InfoEd\_Reports > Negotiation Notes

NORTHWESTERN UNIVERSITY	Negotiation Status
Department Hierarchy - Please choose one or more dopartment nodes in the department hierarchy below.	PI Name - Search for PIs by first or last name.   Multiple names may be entered separated by spaces   tp: Only the first 300 results can be displayed. Enter more information   below and search again to reduce the number of search results.   reywords:   Type one or more keywords separated by spaces.   Search @   Options *   Results:   Image: A search again to reduce the number of search results.   Search @ Options * Results: Search @ </th



# Negotiation Status

Institution Number	Main PI	Title	Department	Sponsor	Originating Sponsor	Institution, if Applicable	Negotiator	Create Date	Agreement Type	Agreement Status	Comment Date	Comments (Last 300 Characters)
		PACEmaker & 6-Blocker Therapy Post-Myocardial Infarct		National Heart, Lung, and Blood Institute	National Heart, Lung, and Blood Institute	Amarillo Heart Clinic Res Institute		9/16/11	Amendment	Pending Return of Subcontracts	9/16/11	Signed.
		Selective Inhibition of Neuronal Nitric Oxide Synthase		National Institute of General Medical Sciences	National Institute of General Medical Sciences			5/18/11		Pending Return of Subcontracts	5/18/11	Information sent to King's College for their signature. 2 copies sent - expect 1 returned.
		HIV-1 Infection and the Peripheral Nervous System		National Institute of Neurological Disorders and Stroke	National Institute of Neurological Disorders and Stroke	Loyola University Chicago		6/11/09	Subcontractor Agreement	Started	6/11/09	Rec'd OSR-7. Prepared amendment to add funding and extend end date. To BE to sign.
		Social Influences on Early Adult Stress Biomarkers		National Institute of Child Health and Human Development	National Institute of Child Health and Human Development	UC Irvine		3/31/11	Amendment	Started	3/31/11	New Agreement
		Northwestern University Clinical and Translational Sciences (NU- CATS) Institute		National Center for Research Resources	National Center for Research Resources	The University of Chicago		8/12/11	Subcontractor Agreement	Pending Return of Subcontracts	8/12/11	Rec'd OSR-6. Prepared agreement. To JY to sign.
		Framework to Estimate Greenhouse Gas Emissions		Caterpillar, Inc.	Caterpilar, Inc.			2/9/11	Generic Agreement	Pending Other External	7/20/11	Awaiting confirmation from sponsor on final agreement, including slight budget increase (to \$80,448) > Also sharing revised budget with GA/GO for review.
		Comprehensive Cancer Patient Education Service (CCPES)		Amgen Foundation, Inc.	Amgen Foundation, Inc.			8/21/09	Amendment	Under Negotiation - Sponsor	9/21/09	Drafted and forwarded to NMFF a subcontract amendment. Waiting fo their review and comments.



Select next to configure additional prompts for your report or finish to view results.

 $\underline{\textbf{Department Hierarchy}}$  - Please choose one or more department nodes in the department hierarchy below.

🗄 🔲 🚥 Department Levels

# Left side:

stitution umber	Main PI	Researchers	School	Department	Title	Sponsor	Sponsor Type	Sponsor Award No
				1000	R25: Learning Modules in Oncofertility (8 of 10)	National Cancer Institute	Federal Agencies	
					Uterine Leiomyoma Research Center Program: Project 2	National Institute of Child Health and Human Development	Federal Agencies	
					NGAA Chokes	National Collegiate Athletic Association	Associations	
					Tech Infilis	Illinois Board of Higher Education	State of Dinois Agencies	
					High Risk Chemical Facility Physical Security Enhancement Project	Illinois Emergency Management Agency	State of Illinois Agencies	
					Northwestern University ALTRP: Taking Responsibility for RCI: Use of Video-Based Scenarios in Nigeria	John E. Fogarty International Center for Advanced Study in the Health Sciences	Federal Agencies	
					(Vanderbilt sub-Bergan) NWU09-04-03 - "Phase I Multiple Dose Study of 12-week Treatment by Se-Methyl-L=Cysteine (MSC) and L SeMet In Adult Males	National Cancer Institute	Federal Agencies	
					Mechanisms of Gonococcal Plin Antigenic & Phase Variation	National Institute of Allergy and Infectious Diseases	Federal Agencies	
					Minority Supplement for: New Polarity Reversal Strategies for Organic Synthesis	National Institute of General Medical Sciences	Federal Agencies	
					Fubright-Hays Doctoral Dissertation Research Abroad Program	Department of Education	Federal Agencies	
					Jacob K. Javits Fellowship Program (Callaci, Cross, Cunningham)	Department of Education	Federal Agencies	- Sentences

# Right side:

Dept Tree: Al PI: Al Employee ID: Al

Originating Sponsor	Award Begin	Award End	Budget Period Begin	Budget Period End	Fiscal Year	Award Type	Total Award Direct Costs	Total Award Indirect Costs	Total Maximum Award Amount	Acounts
National Cancer Institute	9/28/07	6/30/12	7/1/11	6/30/12	2011	Noncompeting Continuation	\$200,029	\$16,002	\$216,031	No
National Institute of Child Health and Human Development	8/15/09	7/1/14	7/1/11	6/30/12	2011	Noncompeting Continuation	\$191,793	\$0	\$291,697	Yes
National Collegiate Athletic Association	8/1/07	8/1/12	8/1/09	8/1/12	2010	Noncompeting Continuation	\$5,000	\$0	\$5,000	No
Illinois Board of Higher Education	7/13/09	7/12/14	7/13/09	7/12/14	2011	New	\$0	50		Yes
Department of Homeland Security	8/1/09	12/31/11	8/1/09	12/31/11	2010	Nov	\$75,000	50	\$75,000	No
John E. Fogarty International Center for Advanced Study In the Health Sciences	6/1/08	\$/01/13	6/1/11	5/31/12	2012	Supplement	\$50,000	\$4,000	\$54,000	No
National Cancer Institute	9/30/03	9/29/12	\$/1/11	9/29/12	2011	Now	\$319,917	\$0	\$319,917	Yes
National Institute of Allergy and Infectious Diseases	2/1/08	1/31/13	2/1/11	1/31/12	2011	Now	\$8,254	N,333	\$12,587	Yes
National Institute of General Medical Sciences	9/20/06	8/31/11	9/1/10	8/31/11	2011	Noncompeting Continuation	\$13,615	\$4,685	\$18,300	Yes
Department of Education	7/1/10	12/31/11	7/1/10	12/31/11	2010	New	\$0	\$0		Yes
Department of Education	9/1/06	8/31/11	9/1/10	8/31/11	2011	Noncompeting Continuation	\$43,755	\$0	\$43,755	No

NORTHWESTERN UNIVERSITY	Proposed and Funded Cost Share
Submit Date Range - Select a start and end date to view proposals with a corresponding submit date.	<b>Department Hierarchy</b> - Please choose one or more department nodes in the department hierarchy below.
* Aug 1, 2011 * Sep 27, 2011 *	Department Levels
	Deselect all

Select next to configure additional prompts for your report or finish to view results.

#### Originating Sponsor - Search for sponsors by entering all or part of the sponsor name.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.



**<u>PI Name</u>** - Search for PIs by first or last name. Multiple names may be entered separated by spaces.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

Keywords: Type one or more keywords separated by spaces.



Originating Sponsor Type - Select one or more originating sponsor types.

Associations 
Default Sponsor Type
Educational Institutions
Federal Agencies
Foreign Governments
Foundations
Hospitals and Medical Centers
Industry and Trade Organizations
State of Illinois Agencies
U.S. State and Local Government Bodies
Voluntary Health and Medical Organizations

# Left side:

14 · · · · · · · · · · · · · · · · · · ·	THWES JNIVERSIT				Proposed and Funded Cost Share Between Aug 1, 2011 and Sep 27, 2011						
Institution Number	Main PI	Proposal Title	School	Department	Sponsor	Originating Sponsor	Sponsor Type	Originating Sponsor Type	Proposal Status	Proposed Project Period	
		Experimentally Validated Multi-Scale Modeling of Polymer/Cu and Solder/Cu Interfaces			Semiconductor Research Corporation	Semiconductor Research Corporation	Associations	Associations	Submitted	3/1/12 - 2/28/15	
		NSF Engineering Research Center for Nanocarbon-Enabled Integrated Bio Systems (NIBS)			Michigan State University	National Science Foundation	Educational Institutions	Federal Agencies	Submitted	9/1/12 - 8/31/17	
		Formation of Thin, Reliable Ag3Sn Interconnects and Mechanical Properties of Incontaining Intermetallics in Microelectronic Interconnects			Semiconductor Research Corporation	Semiconductor Research Corporation	Associations	Associations	Submitted	2/1/12 - 1/31/15	

1

Right side:

								Depa ginating S	ampus: All School: All rtment: All PI: All ponsor: All or Type: All
Total Proposed to Sponsor	Total Proposed Voluntary Cost Share	Total Proposed Mandatory Cost Share	Request Total Cost Share Amount	Project Award Start Date	Total Maximum Award Amount	Total Funded Voluntary Cost Share	Total Funded Mandatory Cost Share	Total Cost Share Funded	Source(s)
\$300,000	\$104,097	\$0	\$104,097	•	\$0	\$0	\$0	\$0	Institution
\$1,500,000	\$0	\$300,000	\$300,000	•	\$0	\$0	\$0	\$0	Institution
\$675,001	\$0	\$109,285	\$109,285	•	\$0	\$0	\$0	\$0	Institution

# **GM060 - Active Awards - All Roles**

Part of the Current, Pending and Completed Support package. All awards for a chosen researcher (any role) that are active during a chosen budget period. The total direct costs, total costs, and effort for the award are displayed along with the total funded for the project. Navigation: InfoEd\_Reports > Current, Pending, and Completed Support

	GM060 - Current, Pending, and Completed Support Active Awards - All Roles Cost Sharing Not Included ent funds that have appropriated in the financial system by OSR. The Total Anticipated column includes these amounts plus funds for future anticipated or potential budget periods. Depending on the terms of the aaranteed, or additional funding may be received in excess of what is currently anticipated. Full award documentation should be consulted when determining awarded grant and contract dollars for the purposes of inclusion on current and pending reports.
Date Options           Select an Active date option           Active Date – Award activity will be limited to records           with a project period that includes the following date.	<u>Investigator Name</u> - Search for one or more investigators by entering their first or last names. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.
O Today User Defined Date	Keywords:         Type one or more keywords separated by spaces.         Search@         Options <>
Report Run Controls	Results: + Choice:
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Report is sorted by Investigator. Select your	
second sort order preference from the choices below.	♠ Remove
Sort by Institution Number Sort by Project Period Start Date Sort by Sponsor	
Project Summary column	
Show/Hide Project Summary column in the report.	, Select all Deselect all Select all Deselect all
	Select all Select all
Show Project Summary Column	
Hide Project Summary Column	

Investigator:

# Northwestern

Current, Pending, and Completed Support Active Awards - All Roles Cost Sharing Not Included Active Date Jan 1, 2015 The Total Reseived column represent current finds that have appropriated in the functional yound by OSR. The Total Active Date Jan 1, 2015 The Total Reseived columns represent current finds that have appropriated in the functional yound by OSR. The Total Active Date Jan 1, 2015

Institution Number	Subproject Number	Sponsored Award Number	Department	Project ID	Investigator	Role	Main PI	Title	Sponsor	Originating Sponsor	Academic Person Months	Calendar Person Months	Summer Person Months	Budget Period	Budget Period Begin Date	Budget Period End Date	Budget Period Direct Costs	Budget Period Direct and Indirect	Project Period Start Date	Project Period End Date	Total Received This Project - To Date	Total Received All Projects - To Date	Total Anticipated Award Amount	NU Project Summary Text
		and the second	And in case of			Co-PD/PI	See.	Cancer Nanotechnology in Imaging and Radiation Oncology	National Cancer Institute	National Cancer Institute	0.00		0.00	6	9/1/14	8/31/15	10.00		1000	-	and the	1000	1000	
			1100	-		PD/PI	10011000	Nanomaterials for Cancer Diagnostics and Therapeutics	National Cancer Institute	National Cancer Institute	0.45	0.00	0.00	6	8/1/15	7/31/16			10110		10.00	1.00	10.100100	
	-		1100			Co-PD/PI	-10111100	CCNE: Nanoconstructs Core (Odom)	National Cancer Institute	National Cancer Institute	0.00	0.00	0.00	1	9/21/10	7/31/11	10000	100.000	10110		100.00	10.000	10.100.00	

# GM063 - Sponsor Trends: Awards

Comprehensive view of the funding of a proposal as well as an indication of competitiveness across the university. The report displays the total proposed amount and the lead PI's year 1 effort and compares the dollars to the total funded and the lead PI's year 1 awarded effort. Navigation: InfoEd\_Reports > Projected Proposal/Award Trends

NORTHWESTERN UNIVERSITY	Sponsor Trends: Awards	
GCO Approval Date - Only awards that fall within the following date range will be returned.          *       Nov 2, 2011       Imv         *       Nov 2, 2011       Imv	Bepartment Hierarchy   Please choose one or more epartment nodes in the department hierarchy below.	



# Sponsor Trends: Awards Between Sep 10, 2011 and Sep 27, 2011

Parent Sponsor Type	Total Number of Awards	Total Maximum Award Amount
EDUC	18	\$1,288,856
FED	61	\$16,960,207
FORGOV	1	\$310,000
FOUND	4	\$240,862
HOSP	27	\$2,661,378
INDUS	11	\$447,589
VOLHEAL	7	\$466,178
Summary	129	\$22,375,069

# Drills to:

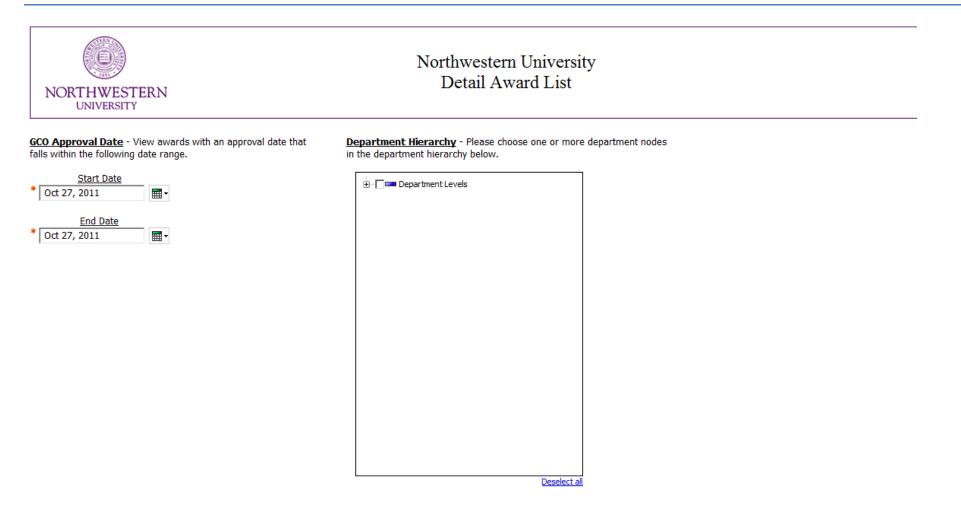
Parent Sponsor Name	Total Number of Awards	Total Maximum Award Amount
Andrew W. Mellon Foundation	2	\$155,000
Anonymous Foundation (VI)	1	\$75,757
Bernard Osher Foundation	1	\$50,000
Bill & Melinda Gates Foundation	1	\$100,000
David and Lucile Packard Foundation	2	\$350,000
Frontier Science and Technology Research Foundation	10	\$158,461
Motorola Solutions Foundation	1	\$50,000
National Academy of Education	1	\$27,500
Open Society Institute	1	\$50,655
Public Welfare Foundation	1	\$90,000
Robert R. McCormick Tribune Foundation	1	\$50,000
Samuel H. Kress Foundation	1	\$25,000
Simons Foundation	1	\$125,000
Smith Richardson Foundation	1	\$98,132
Terra Foundation for American Art	1	\$76,611
The Susan G. Komen Breast Cancer Foundation	1	\$60,000
United States-Israel Binational Science Foundation	2	\$28,740
Wallace H. Coulter Foundation	1	\$130,000
William T. Grant Foundation	2	\$108,923
Summary	32	\$1,809,779

Drills to:

Funding Mechanism	Total Number of Awards	Total Maximum Award Amount
Postdoctoral Research Fellowship	1	\$27,500
Summary	1	\$27,500

# **GM064 - Monthly Award Detail**

Comprehensive list of all grants and contracts awarded over a time period. Navigation: InfoEd\_Reports > Annual/Monthly Reports



Select next to configure additional prompts or finish to view your report.

<u>**PI Name</u>** - Search for PIs by first or last name. Multiple names may be entered separated by spaces</u>

	Search		
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Main PI	Institution Number	Researchers	Sponsor
			National Physical Science Consortium
			National Research Council
			National Center for Research Resource

Right side:

#### Dept Tree: All PI: All University Detail Award List Oct 1, 2011 to Oct 27, 2011 used from Oct 1, 2011 to Oct 27, 2011, irrespective of the dates on which the awards are ase write or call the Office for Sponsored Research at 1-3003 (Evanston) or 3-7955 I email to Dave Hull, d-hull2@northwestern.edu. Title Budget Period Amount Туре National Physical Science Consortium 9/1/11 - 8/31/12 \$16,000 Noncompeting Continuation Fellowship (O'Connor) Ford Foundation Fellowship (Rhaisa 9/1/11 - 8/31/12 \$2,000 New Williams) Subtotal of awards for General TGS - Grad Fellowships \$18,000 2 Total of awards for The Graduate School-Graduate 2 \$18,000 Total of awards for Ctrl Rsrc The Graduate School \$18,000 2 Admin Supplement to Science Club: 6/1/11 - 5/31/12 \$94,108 New Building a Science Community Partnership with the Boys and Girls Club of Chicago Subtotal of awards for Center For Genetic Medicine \$94,108 1 Total of awards for Center for Genetic Medicine \$94,108 1

GM065 - Proposals Summary by Sponsor Type Comparison of the count and dollar total of proposals submitted in a selected month, by sponsor type, as well as a year to month Navigation: InfoEd\_Reports > Annual/Monthly Reports

NORTHWESTERN	Office for Sp Due to OSR's data quality assurance program, monthly reports	ary by Sponsor Type ponsored Research of award and proposal activity are generally finalized two s run early in the month are subject to change.	weeks into the
Year Select the Year: (The accurate fisca on the report if different than the ca For example, when Sept 2007 is sel display.) Year Month Select the Month. Month	lendar year selected.	Department Hierarchy Please choose one or more department node	ES.

Deselect all



# Proposal Summary by Sponsor Type Office for Sponsored Research For 11 - November 2010

#### CURRENT FISCAL MONTH ACTIVITY

	% Change in Dollars 2011/2010	% Change in Count 2011/2010	Amount Proposed 2011	Number Proposed 2011	Amount Proposed 2010	Number Proposed 2010
ASSOC	-43%	-50%	\$145,861	1	\$253,893	2
EDUC	-94%	-60%	\$37,201	2	\$646,078	5
FED	2%	-1%	\$180,995,649	182	\$176,684,444	183
FORGOV	-100%	-100%	\$0	0	\$616,794	3
FOUND	-34%	-29%	\$2,433,995	17	\$3,664,638	24
HOSP	100%	100%	\$50,000	1	\$0	0
ILAGEN	100%	100%	\$319,774	1	\$0	0
INDUS	7%	0%	\$3,645,642	17	\$3,411,859	17
STLOC	-100%	-100%	\$0	0	\$118,157	2
VOLHEAL	173%	71%	\$1,253,018	12	\$458,695	7
Totals	2%	-4%	\$188,881,140	233	\$185,854,558	243

#### FISCAL YEAR TO DATE

	% Change in Dollars 2011/2010	% Change in Count 2011/2010	Amount Proposed 2011	Number Proposed 2011	Amount Proposed 2010	Number Proposed 2010	Amount Proposed 2009	Number Proposed 2009
ASSOC	-31%	-60%	\$436,522	4	\$636,571	10	\$1,523,144	10
EDUC	-62%	-42%	\$2,595,785	11	\$6,787,533	19	\$702,893	11
FED	4%	12%	\$506,457,392	541	\$484,938,973	482	\$494,523,433	469
FORGOV	-95%	-71%	\$195,000	2	\$3,916,794	7	\$101,466	1
FOUND	118%	14%	\$15,463,536	56	\$7,081,395	49	\$13,622,168	63
HOSP	47%	133%	\$230,966	7	\$157,480	3	\$213,094	6
ILAGEN	1,327%	150%	\$1,468,224	5	\$102,888	2	\$559,609	5
INDUS	12%	-2%	\$9,823,517	58	\$8,749,659	59	\$9,062,684	61
STLOC	-100%	-100%	\$0	0	\$118,157	2	\$0	0
VOLHEAL	66%	52%	\$15,066,694	91	\$9,053,801	60	\$14,438,550	81
Totals	6%	12%	\$551,737,635	775	\$521,543,249	693	\$534,747,042	707

# **GM066 - Proposals Summary by Management Area**

Comparison of the count and dollar total of proposals submitted in a selected month, by department, as well as a year to month comparison for three fiscal years. Navigation: InfoEd\_Reports > Annual/Monthly Reports



### <u>Year</u>

Select the Year: (The accurate fiscal year will be reflected on the report if different than the calendar year selected. For example, when Sept 2007 is selected, FY 2008 will display.)

*	Year	]

#### Month Select the Month.





# Proposal Summary by Management Areas Office for Sponsored Research For 1 - January 2011

	% Change in Dollars 2011/2010	% Change in Count 2011/2010	Amount Proposed 2011	Number Proposed 2011	Amount Proposed 2010	Number Proposed 2010
Ctrl Rsrc The Graduate School	100%	100%	\$10,000	1	\$0	0
Feinberg School of Medicine	-39%	-18%	\$62,961,916	146	\$103,886,680	179
Kellogg School of Management	749%	67%	\$730,350	5	\$86,000	3
McCormick Sch Engg & App Sci	204%	86%	\$17,845,777	39	\$5,862,638	21
Medill School of Journalism	-100%	-100%	\$0	0	\$1,034,859	2
Northwestern School of Law	167%	100%	\$40,000	2	\$15,000	1
Office of the Provost	-27%	0%	\$11,000	2	\$15,000	2
Research Centers & Institutes	1,223%	-33%	\$26,955,999	8	\$2,037,748	12
Research Operations	100%	100%	\$7,156	1	\$0	0
School of Communication	2,259%	100%	\$4,736,454	8	\$200,820	4
School of Educ & Social Policy	-23%	100%	\$11,104,180	14	\$14,370,517	7
School of Music	0%	0%	\$50,000	1	\$49,999	1
The Graduate School (TGS)	-100%	-100%	\$0	0	\$35,000	1
University Library	4,093%	100%	\$247,165	2	\$5,895	1
Weinberg CollegeArts&Sciences	34%	5%	\$16,276,860	42	\$12,190,085	40
Totals	1%	-1%	\$140,976,857	271	\$139,790,242	274

## CURRENT FISCAL MONTH ACTIVITY

#### FISCAL YEAR TO DATE

	% Change in Dollars 2011/2010	% Change in Count 2011/2010	Amount Proposed 2011	Number Proposed 2011	Amount Proposed 2010	Number Proposed 2010	Amount Proposed 2009	Number Proposed 2009
Business and Finance	0%	0%	\$0	0	\$0	0	\$75,000	1
Ctrl Rsrc The Graduate School	100%	100%	\$463,188	3	\$0	0	\$343,848	1
Feinberg School of Medicine	-16%	-5%	\$440,801,944	667	\$522,920,585	705	\$386,236,571	629
Kellogg School of Management	144%	88%	\$3,155,215	15	\$1,295,767	8	\$454,837	3
McCormick Sch Engg & App Sci	28%	15%	\$133,256,622	231	\$104,289,673	201	\$185,150,333	203

	% Change in Dollars 2011/2010	% Change in Count 2011/2010	Amount Proposed 2011	Number Proposed 2011	Amount Proposed 2010	Number Proposed 2010	Amount Proposed 2009	Number Proposed 2009
Medill School of Journalism	-57%	0%	\$450,000	2	\$1,034,859	2	\$1,742,746	5
Northwestern School of Law	-6%	67%	\$508,701	10	\$543,500	6	\$1,090,712	9
OR Programmatic Support	-100%	-100%	\$0	0	\$10,000	1	\$0	0
Office Information Technology	0%	0%	\$0	0	\$0	0	\$1,257,226	4
Office of the Provost	-97%	11%	\$178,204	10	\$5,891,441	9	\$242,556	3
Research Centers & Institutes	86%	-36%	\$47,866,696	34	\$25,762,354	53	\$55,499,576	33
Research Operations	-95%	100%	\$507,156	2	\$10,000,000	1	\$394,127	3
School of Communication	195%	100%	\$25,763,267	34	\$8,722,006	17	\$12,354,082	21
School of Continuing Studies	-100%	-100%	\$0	0	\$50,000	1	\$0	0
School of Educ & Social Policy	0%	36%	\$24,442,360	30	\$24,419,005	22	\$3,280,246	12
School of Music	351%	100%	\$225,297	2	\$49,999	1	\$331,842	2
Student Affairs	33%	0%	\$9,998	1	\$7,500	1	\$7,500	1
The Graduate School (TGS)	-100%	-100%	\$0	0	\$40,000	2	\$2,901,182	2
University Library	4,093%	100%	\$247,165	2	\$5,895	1	\$20,220	1
Weinberg CollegeArts&Sciences	69%	27%	\$119,712,089	205	\$70,669,011	161	\$72,628,424	155
Totals	3%	5%	\$797,587,901	1,248	\$775,711,596	1,192	\$724,011,028	1,088

FISCAL YEAR TO DATE

# GM067 - Awards Summary by Management Area

Comparison of the count and dollar total of awards received in a selected month, by management area, as well as a year to month comparison for three fiscal years. Navigation: InfoEd\_Reports > Annual/Monthly Reports





# Awards Summary by Management Areas Office for Sponsored Research For 7 - July 2011

	% Change in Dollars 2011/2010	% Change in Count 2011/2010	Amount Awarded 2011	Number Awarded 2011	Amount Awarded 2010	Number Awarded 2010
Athletics	-100%	-100%	\$0	0	\$5,000	1
Feinberg School of Medicine	10%	23%	\$49,318,876	225	\$44,950,924	183
Kellogg School of Management	-22%	-33%	\$133,003	2	\$170,866	3
McCormick Sch Engg & App Sci	-2%	-15%	\$8,710,699	63	\$8,902,750	74
Northwestern School of Law	100%	100%	\$45,000	1	\$0	0
Office of the President	-100%	-100%	\$0	0	\$135,000	1
Office of the Provost	100%	0%	\$10,000	1	\$5,000	1
Research Centers & Institutes	-59%	-20%	\$2,818,900	12	\$6,866,810	15
School of Communication	64%	12%	\$1,461,588	9	\$890,486	8
School of Continuing Studies	-100%	-100%	\$0	0	\$50,000	1
School of Educ & Social Policy	50%	100%	\$726,515	6	\$484,387	3
School of Music	0%	0%	\$50,000	1	\$50,000	1
Weinberg CollegeArts&Sciences	8%	35%	\$8,770,116	73	\$8,153,314	54
Totals	2%	14%	\$72,044,697	393	\$70,664,537	345

## CURRENT FISCAL MONTH

#### FISCAL YEAR TO DATE

	% Change in Dollars 2011 / 2010	% Change in Count 2011 / 2010	Amount Awarded 2011	Number Awarded 2011	Amount Awarded 2010	Number Awarded 2010	Amount Awarded 2009	Number Awarded 2009
Athletics	-100%	-100%	\$0	0	\$5,000	1	\$10,000	1
Business and Finance	-100%	-100%	\$0	0	\$75,000	1	\$75,000	1
Ctrl Rsrc The Graduate School	1,006%	-44%	\$2,245,304	5	\$202,980	9	\$2,147,368	12
Feinberg School of Medicine	-9%	5%	\$253,526,876	1,392	\$279,135,262	1,325	\$236,310,057	1,223
Kellogg School of Management	-14%	-9%	\$635,602	10	\$735,031	11	\$261,637	6
McCormick Sch Engg & App Sci	-15%	-3%	\$49,928,171	383	\$58,983,913	394	\$45,109,186	358
Media Management Center	-67%	-50%	\$185,000	1	\$568,000	2	\$517,000	2

# CURRENT FISCAL MONTH

	% Change in Dollars 2011 / 2010	% Change in Count 2011 / 2010	Amount Awarded 2011	Number Awarded 2011	Amount Awarded 2010	Number Awarded 2010	Amount Awarded 2009	Number Awarded 2009
Medill School of Journalism	-5%	0%	\$1,179,400	6	\$1,235,875	6	\$1,178,438	8
Northwestern School of Law	-7%	80%	\$819,998	18	\$878,930	10	\$2,251,618	13
OR Programmatic Support	-100%	-100%	\$0	0	\$10,000	1	\$0	0
Office Information Technology	874%	0%	\$1,570,507	3	\$161,209	3	\$363,137	3
Office of the President	38%	-33%	\$348,000	2	\$251,586	3	\$449,855	4
Office of the Provost	27%	50%	\$5,477,868	18	\$4,299,371	12	\$5,314,965	7
Research Centers & Institutes	-37%	-1%	\$18,060,867	83	\$28,675,373	84	\$22,620,099	98
Research Operations	0%	0%	\$2,510,151	2	\$2,510,151	2	\$3,429,053	5
School of Communication	-7%	33%	\$7,443,805	48	\$8,004,751	36	\$7,407,619	30
School of Continuing Studies	-53%	-67%	\$155,000	1	\$328,000	3	\$50,000	1
School of Educ & Social Policy	-6%	-8%	\$4,945,933	24	\$5,275,680	26	\$2,846,470	24
School of Music	-58%	-50%	\$50,000	1	\$119,396	2	\$168,949	2
Student Affairs	-100%	-100%	\$0	0	\$1,690	1	\$2,530	1
The Graduate School (TGS)	-9%	0%	\$287,324	2	\$317,304	2	\$503,324	2
University Library	0%	0%	\$0	0	\$0	0	\$89,733	1
University Press	-49%	100%	\$165,608	2	\$325,391	1	\$180,336	2
Weinberg CollegeArts&Sciences	11%	5%	\$56,514,894	366	\$51,115,282	350	\$46,414,385	323
Totals	-8%	4%	\$406,050,308	2,367	\$443,215,175	2,285	\$377,700,759	2,127

# FISCAL YEAR TO DATE

# GM068 - Awards Summary by Sponsor Type

Comparison of the count and dollar total of awards received in a selected month, by sponsor type, as well as a year to month comparison for three fiscal years. Navigation: InfoEd\_Reports > Annual/Monthly Reports

Image: Description of the property							
Year       Please choose one or more department nodes.         Select the Year: (The accurate fiscal year will be reflected on the report if different than the calendar year selected.       Please choose one or more department nodes.         For example, when Sept 2007 is selected, FY 2008 will display.)       Image: Comparison of the report if different than the calendar year selected.         * Year       Image: Comparison of the report if different than the calendar year selected.         Month Select the Month.       Image: Comparison of the report of th	NORTHWESTERN Due to OSR's data quality assurance program, mor following month; therefore	Office for Sponsored Research Due to OSR's data quality assurance program, monthly reports of award and proposal activity are generally finalized two weeks into the					
	Select the Year: (The accurate fiscal year will be reflected on the report if different than the calendar year selected. For example, when Sept 2007 is selected, FY 2008 will display.) * Year Month Select the Month.	Please choose one or more department nodes.					

Deselect all



# Award Summary by Sponsor Type Office for Sponsored Research For 10 - October 2012

#### CURRENT FISCAL MONTH

	% Change in Dollars 2013/2012	% Change in Count 2013/2012	Amount Awarded 2013	Number Awarded 2013	Amount Awarded 2012	Number Awarded 2012
ASSOC	-100%	-100%	\$0	0	\$100,286	4
EDUC	-100%	-100%	\$0	0	\$159,484	3
FED	-100%	-100%	\$0	0	\$18,365,624	128
FOUND	-100%	-100%	\$0	0	\$1,564,239	21
HOSP	-100%	-100%	\$0	0	\$264,003	6
ILAGEN	-100%	-100%	\$0	0	\$272,700	3
INDUS	-100%	-100%	\$0	0	\$6,399,081	30
VOLHEAL	-100%	-100%	\$0	0	\$292,063	6
Totals	-100%	-100%	\$0	0	\$27,417,480	201

#### FISCAL YEAR TO DATE

	% Change in Dollars 2013 / 2012	% Change in Count 2013 / 2012	Amount Awarded 2013	Number Awarded 2013	Amount Awarded 2012	Number Awarded 2012	Amount Awarded 2011	Number Awarded 2011
ASSOC	-100%	-100%	\$0	0	\$100,286	4	\$10,000	2
EDUC	-100%	-100%	\$0	0	\$309,484	6	\$115,142	4
FED	-100%	-100%	\$0	0	\$43,141,942	230	\$56,463,701	261
FORGOV	-100%	-100%	\$0	0	\$310,000	1	\$7,710	1
FOUND	-100%	-100%	\$0	0	\$2,028,567	28	\$1,861,807	26
HOSP	-100%	-100%	\$0	0	\$744,760	17	\$654,024	6
ILAGEN	-100%	-100%	\$0	0	\$715,141	5	\$3,862,286	5
INDUS	-100%	-100%	\$0	0	\$9,420,799	61	\$5,523,039	51
VOLHEAL	-100%	-100%	\$0	0	\$818,829	16	\$2,344,793	33
Totals	-100%	-100%	\$0	0	\$57,589,807	368	\$70,842,502	389

**GM070 - Awards with Required Cost Sharing** Awards from US sponsors with required cost sharing.

Awards with Required Cost Sharing
<b>Department Hierarchy</b> - Please choose one or more department nodes in the department hierarchy below.
Department Levels

Deselect all

# Select next to configure additional prompts for your report or finish to view results.

**<u>PI Name</u>** - Search for PIs by first or last name. Multiple names may be entered separated by spaces

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

#### Keywords:





# Awards with Required Cost Sharing

Dept Tree:

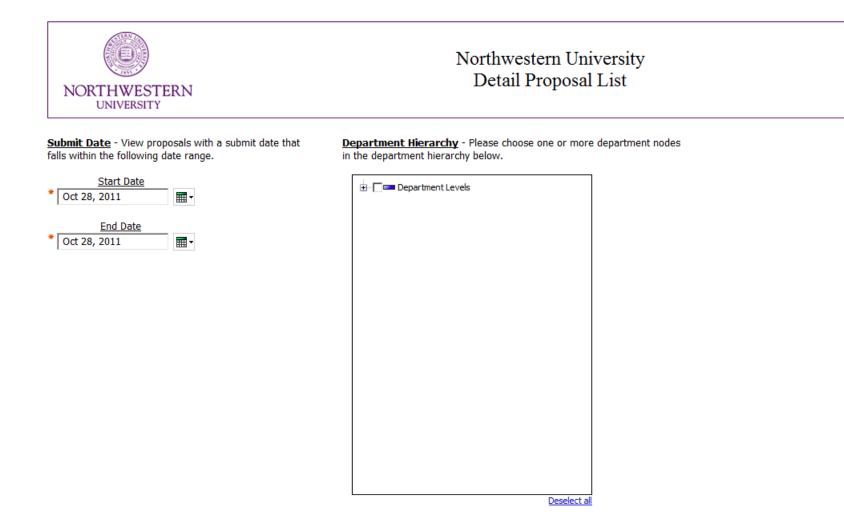
PI:

Between Oct 1, 2011 and Oct 28, 2011 Only includes awarded proposals with mandatory cost sharing and a total funded amount that is greater than zero, and whose originating sponsor type is not the state of Illinois or foreign governments. Does not include proposals whose originating sponsor's parent is the NIH or HHS.

Institution Number	Main PI	Proposal Title	School	Department	Sponsor	Originating Sponsor	Award Type	CUPS #	Awarded Budget Period	Funded Mandatory Cost Share	Total Maximum Award Amount	Source(s
		Intrinsically Acentric High-Response Electro-Optic Multilayers. Materials for High-Speed Optical Switching	and the second se	1	Case Western Reserve University	National Science Foundation	Noncompeting Continuation		8/1/11 - 7/31/12	\$21,639	\$112,200	
		MRI: Acquisition of a Time-of-Flight GC-Mass Spectrometer		-	National Science Foundation	National Science Foundation	New		9/1/09 - 8/31/12	\$86,508	\$201,851	
		Simons Foundation Postdoctoral Fellowship		-	Simons Foundation	Simons Foundation	New		7/1/11 - 6/30/12	\$13,458	\$104,920	
		GAANN Fellowships for Applied Physics			Department of Education	Department of Education	Noncompeting Continuation		8/15/11 - 8/14/12	\$122,817	\$263,850	
		MRI-R2: Development of Instrumentation for Nanoscale Spatial and Time Resolved Microwave Studies at Variable Temperatures and Magnetic Fields			National Science Foundation	National Science Foundation	Noncompeting Continuation		3/1/11 - 2/29/12	\$40,181	\$77,505	
ummary		Alex and the		(A.	- F. (		·		1	\$284,603	\$760,326	

# **GM071 - Monthly Proposal Detail**

Comprehensive list of all proposals submitted over a time period.



Select next to configure additional prompts or finish to view your report.

<u>PI Name</u> - Search for PIs by first or last name. Multiple names may be entered separated by spaces

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

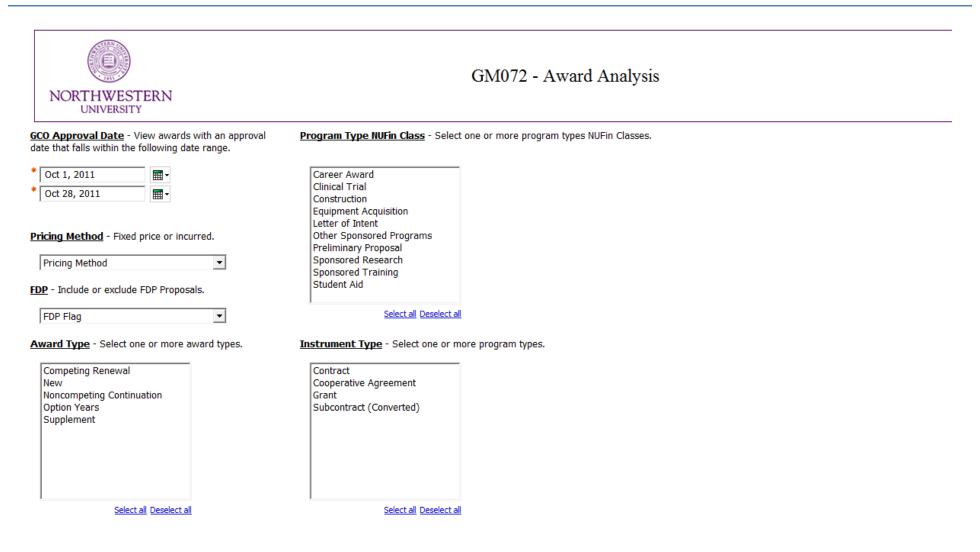
#### Keywords:



	Northwestern University Detail Proposal List       Dept Tree: PI:         From Oct 1, 2011 to Oct 28, 2011       (New, Renewal, Supplemental, and Revised Applications)         NORTHWESTERN UNIVERSITY       This is a compilation of proposal submitted from Oct 1, 2011 to Oct 28, 2011. For comments or corrections, please         write or call the Office for Sponsored Research at 1-3003 (Evanston) or 3-7955 (Chicago) or send email to Dave Hull, d-hull2@northwestern.edu.								
Main PI	Institution Number	Researchers	Sponsor	Proposed Amount	Туре	Submit Date			
			National Institutes of Health	The Effect of Contralesional Motor Cortical Activity on Arm Synergies in Stroke	7/1/12 - 6/30/17	\$1,866,044	New	10/5/11	
			Association Francaise contre les Myopathies (via Children's Memorial Hospital)	Biomarkers for SMA	6/1/12 - 5/31/14	\$33,429	New	10/7/11	
			National Institutes of Health (via Children's Memorial Hospital)	Biomarkers for SMA	7/1/12 - 6/30/14	\$41,690	New	10/17/11	
			Canadian Institutes of Health Research	Fellowship for Jessica Wilson in support of: Motor Unit Excitability in Parkinson's Disease and the Effects of Modulation By Monoamines	5/1/12 - 4/30/15	\$105,000	New	10/10/11	
				Subtotal	and the second	<b>\$2,046,16</b> 3	4		
				Total of proposals for F		\$2,046,163			
				Total of proposa	and the second se	\$2,046,163			
				Total Proposals for Oct 1, 2	011 to Oct 28, 2011	\$2,046,163	4		

# GM072 - Award Analysis

Total number of awards and total awarded by school. The first drill through provides the ability to see the total number of awards and total awarded by department. The second drill through gives the detailed award information related to the query. A broad range of prompts allow for specific or wide ranging queries. Navigation: InfoEd\_Reports > Proposal/Award Trends



Select next to configure additional prompts for your report or finish to view results.

 $\underline{Sponsor}$  - Search for sponsors by entering all or part of the sponsor name.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

# Keywords: Type one or more keywords separated by spaces. Search Options Results: Choices: Insert ◆ ● Remove Select all Deselect all

**Parent Sponsor** - Search for parent sponsors by entering all or part of the parent sponsor name.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.



	Select all Deselect all		Select all Desele
	Sponsor - Search fo		onsors by entering
all or part of the	he grandparent spons	or name.	
Tip: Only the f	irst 300 results can be	displayed. Enter n	nore information
Delow and sear	rch again to reduce the	e number of searc	n results.
Keywords:	keywords separated by	503685	
	e keywords separated by s		
	e keywords separated by s		
Type one or more			Choices:
Type one or more Options ×			Choices:
Type one or more <u>Options</u> ×		h () Insert →	Choices:
Type one or more Options ×		h	Choices:
Type one or more Options ×		h () Insert →	Choices:
Type one or more <u> Options</u> <sup> ×</sup>		h () Insert →	Choices:



# Award Analysis Between Oct 1, 2011 and Oct 28, 2011

Pricing Method: All FDP: All Award Type: All Program Type NUFin Class: All Instrument Type: All Sponsor : All Parent Sponsor : All Grandparent Sponsor : All

School	Total Number Awarded	Total Maximum Award Amount
	2	\$18,000
	104	\$16,302,368
	2	\$110,843
	37	\$4,251,971
	1	\$50,000
	1	\$90,000
	2	\$225,625
	2	\$125,000
	7	\$508,759
	6	\$610,476
	1	\$50,000
	2	\$77,500
	34	\$4,996,938
Summary	201	\$27,417,480

# Drills to:

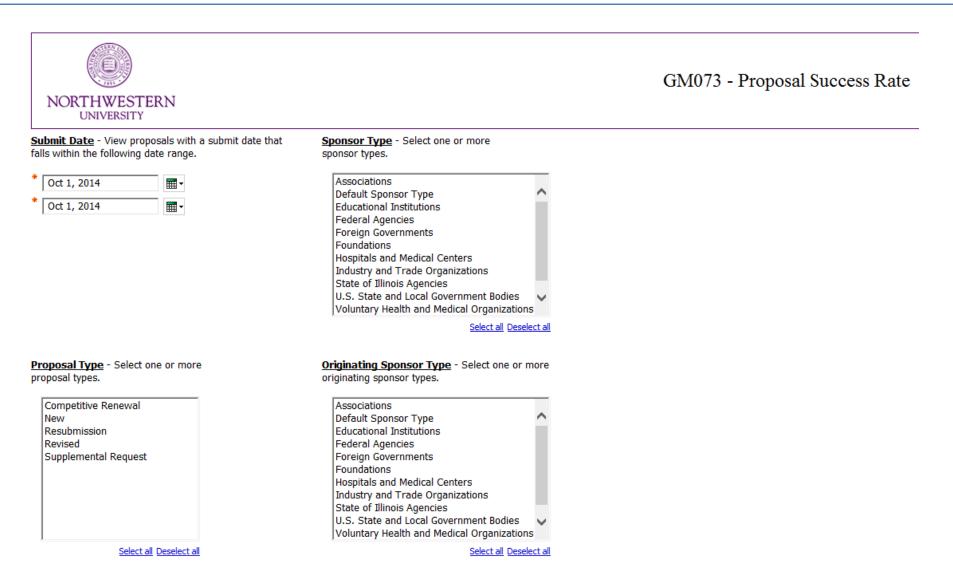
Department	Total Number Awarded	Total Maximum Award Amount
	1	\$65,625
	1	\$160,000
Summary	2	\$225,625

# Drills to:

Institution Number	Main PI	School	Department	Title	Sponsor	Originating Sponsor	Originating Sponsor Type	Sponsor Award No	Awarded Project Period	Awarded Budget Period	Award Type	Total Maximum Award Amount
		deserved in	-	Project Bamboo Technology Proposal (Phase One)	University of California, Berkeley	Andrew W. Mellon Foundation	Foundations	00007436 / PO 2000070744	10/1/10 - 3/31/12	10/1/10 - 3/31/12	New	\$65,625
												\$65,625

# **GM073 - Proposal Success Rate**

Proposal success rate, measured by the percentage of proposals funded divided by the number of proposals submitted to sponsors over a specified time period. Navigation: InfoEd\_Reports > Proposal/Award Trends



Proposal Type: All Sponsor Type: All Originating Spon Type: All

# Proposal Success Rate Between Oct 1, 2011 and Oct 28, 2011



Proposal Success Rate	Total Number Proposed	Total Amount Proposed	Total Number Pending	Total Amount Pending	Total Number Funded	Total Amount Funded
100%	1	\$2,000	0	\$0	1	\$2,000
12%	98	\$167,234,389	83	\$162,067,676	12	\$4,293,044
7%	45	\$23,965,414	42	\$21,741,144	3	\$257,400
0%	1	\$32,811	1	\$32,811	0	\$0
0%	6	\$3,377,783	6	\$3,377,783	0	\$0
0%	4	\$1,272,216	4	\$1,272,216	0	\$0
0%	40	\$11,732,714	40	\$11,732,714	0	<b>\$</b> 0
8%	195	\$207,617,326	176	\$200,224,344	16	\$4,552,444

# Drills to:

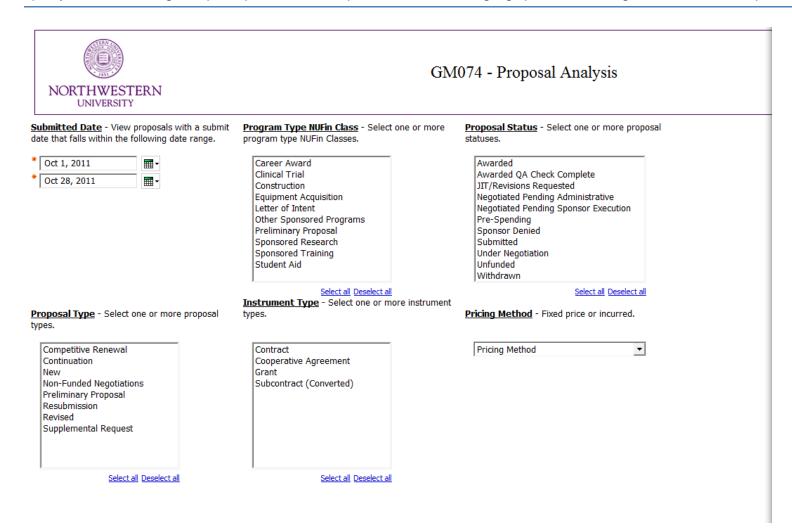
Proposal Success Rate	Total Number Proposed	Total Amount Proposed	Total Number Pending	Total Amount Pending	Total Number Funded	Total Amount Funded
0%	1	\$385,564	1	\$385,564	0	\$0
11%	9	\$8,239,899	8	\$8,230,899	1	\$5,000
0%	4	\$625,443	4	\$625,443	0	\$0
0%	1	\$420,385	1	\$420,385	0	\$0
0%	8	\$5,467,894	8	\$5,467,894	0	\$0
0%	1	\$391,819	1	\$391,819	0	\$0
9%	11	\$4,851,727	10	\$2,792,350	1	\$252,400
0%	1	\$301,094	1	\$301,094	0	\$0
0%	4	\$1,582,297	4	\$1,582,297	0	\$0
0%	2	\$743,451	2	\$743,451	0	\$0
0%	1	\$750,002	1	\$750,002	0	\$0
50%	2	\$205,839	1	\$49,946	1	\$0
7%	45	\$23,965,414	42	\$21,741,144	3	\$257,400

# Drills to:

Proposal Success Rate	Total Number Proposed	Total Amount Proposed	Total Number Pending	Total Amount Pending	Total Number Funded	Total Amount Funded
9%	11	\$4,851,727	10	\$2,792,350	1	\$252,400
9%	11	\$4,851,727	10	\$2,792,350	1	\$252,400

### **GM074 - Proposal Analysis**

Total number of proposals and total proposed by school. The first drill throughs provide the ability to see the total number of proposals and total proposed by department. The second drill through gives the detailed proposal information related to the query. A broad range of prompts allow for specific or wide ranging queries. Navigation: InfoEd\_Reports > Proposal/Award Trends



 $\underline{Sponsor}$  - Search for sponsors by entering all or part of the sponsor name.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

# Keywords: Type one or more keywords separated by spaces. Search Options Results: Insert ◆ • Remove Select all Deselect all

Parent Sponsor - Search for parent sponsors by entering all or part of the parent sponsor name.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

### Keywords:



J Select all Deselect all	J <u>Select all</u> Des	elect all

Grandparent Sponsor - Search for grandparent sponsors by entering all or part of the grandparent sponsor name.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

### Keywords:

	Search	
<u>Options</u> ⊗		
Results:		Choices
	Insert 🄶	

Select all Deselect all	Select all Deselect all

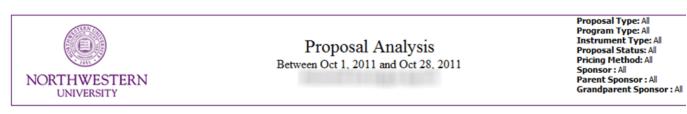
## Proposal Analysis Between Oct 1, 2011 and Oct 28, 2011

Proposal Type: All Program Type NUFin Class: All Instrument Type: All Proposal Status: All Pricing Method: All Sponsor: All Parent Sponsor: All Grandparent Sponsor: All



School	Total Number Proposed	Total Amount Proposed
	1	\$2,000
	98	\$167,234,389
	45	\$24,088,645
	1	\$32,811
	6	\$3,377,783
	4	\$1,272,216
	40	\$11,732,714
Summary	195	\$207,740,557

Drills to:



Department	Total Number Proposed	Total Amount Proposed
	9	\$8,239,899
	4	\$625,443
	1	\$750,002
	4	\$1,582,297
	1	\$301,094
	1	\$420,385
	1	\$385,564
	11	\$4,851,727
	8	\$5,467,894
	2	\$743,451
	1	\$391,819
	2	\$329,070
	45	\$24,088,645

Proposal Analysis Between Oct 1, 2011 and Oct 28, 2011 NORTHWESTERN								Program Instrume Proposal Pricing M Sponsor Parent Sp	Proposal Type: All Program Type: All Instrument Type: All Proposal Status: All Pricing Method: All Sponsor : All Parent Sponsor : All Grandparent Sponsor : All				
Institution Number	Main PI	School	Department	Title	Sponsor	Originating Sponsor	Originating Sponsor Type	Submit Date	Proposal Status	Proposed Project Begin	Proposed Project End	Proposal Type	Total Amount Proposed
			-test t	Effects of Crowding on Dynamical Processes in Cell Nuclei in Early Carcinogenesis	National Science Foundation	National Science Foundation	Federal Agencies	10/26/11	Submitted	7/1/12	6/30/15	New	\$385,564
Summary												\$385,564	

## **GM084 - Completed Awards within Three Years - All Roles**

Part of the Current, Pending and Completed Support package. All awards that have been completed within the past there years from a chosen date for a research are displayed. Navigation: InfoEd\_Reports > Current, Pending, and Completed Support

Northwestern	GM084 - Current, Pending, and Completed Support Completed Awards Cost Sharing Not Included
Date Options         Select a date option.         Image: Constraints of the second sec	Investigator Name       - Search for one or more investigators by entering their         first or last names.       Tp: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.         Keywords:       Type one or more keywords separated by spaces.         Options        Search          Results:       Insert          Imsert        Remove         Select al Deselect al       Select al Deselect al
Select all Deselect all         Report Run Controls         Report Sort         Report is sorted by Investigator. Select your second sort order preference from the choices below.         Sort by Institution Number         Sort by Award Project Period End Date         Sort by Spansor         Project Summary column         Show/Hide Project Summary column         Show Project Summary Column	

Nort	Northwestern Current, Pending, and Completed Support Investigator: Al Investigator: Completed Awards Cost Sharing Not Included Award End Dates between Dec/2/2013 and Dec/2/2016														
Institution	Subproject			Project						Originating	Awarded Project	Awarded Project	Project Period Total	Total Costs	
Number	Number	Sponsor Award No	Department	ID	Investigator	Role	Main PI	Title	Sponsor	Sponsor	Start Period	End Period	Costs	All Projects	Project Summary
analisi o	-		8282300 - Institute for Nanotechnology	-	100	PD/PI	825	L-003: Nanomaterials for Cancer Diagnostics and Therapeutics	Searle Funds at The Chicago Community Trust	Searle Funds at The Chicago Community Trust	05/01/11	07/31/14	101100		
-		100001-011-000	4011400 - Chemistry	-		Principal Investigator	HALL FROM	Scanning Probe Epitaxy	Space and Naval Warfare Systems Center Pacific	Space and Naval Warfare Systems Center Pacific	06/25/08	01/25/14	1.000	101010	
	10000	100001101	5202000 - Dermatology				10.000	P&F 4: Nanoparticle Delivery of Oligonucleotides Targeting Missense Mutations in Keratinocytes	National Institute of Arthritis and Musculoskeletal and Skin Diseases	National Institute of Arthritis and Musculoskeletal and Skin Diseases	08/01/09	06/30/14	10110	100.00	

# **GM085 - Pending Proposals - All Roles**

Part of the Current, Pending and Completed Support package. All proposals that are still pending for a research are displayed along with the year 1 requested effort and total proposed dollars.

Navigation: InfoEd\_Reports > Current, Pending, and Completed Support

Northwestern	GM085 - Current, Pending, and Completed Support Pending Proposals - All Roles Cost Sharing Not Included
Date Options Select a Proposal Project Start date option: Report will return all pending proposals with a Start Date on or after the date selected.	<b>Investigator Name</b> - Search for one or more investigators by entering their first or last names. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.
Today     User Defined Date	Keywords:         Type one or more keywords separated by spaces.         Search          Options <>
Report Run Controls         Report Sort         Report is sorted by Investigator. Select your second sort order preference from the choices below.         Sort by Institution Number         Sort by Submission Date         Project Summary column         Show Project Summary column         Hide Project Summary Column	Results:       Insert *

### Current, Pending, and Completed Support Pending Proposals - All Roles Cost Sharing Not Included Proposal Project Start Date Greater Than Dec 2, 2016

Records where the PI has no effort and no requested salary on the PD budget will display a role of 'N/A'. The PI may actually have requested effort and should be confirmed on the Proposal Routing Form in InfoEd. Records where the selected employee has a role other than PI with no effort and no requested salary on the PD budget will not show on this report.

Institution Number	Subproject Number	Department	Investigator	Role	Main PI	Title	Sponsor	Originating Sponsor	Academic PD Person Months	Calendar PD Person Months	Summer PD Person Months	Proposed Project Start Date	Proposed Project End Date	1st Budget Period Direct Costs	Total Direct Costs Proposed	Total Costs Proposed	Submission Date	Proposal Status	Project Summary
		4011400 - Chemistry		PD/PI		Reconfigurable Matter from Programmable Atom Equivalents	Air Force Office of Scientific Research	Air Force Office of Scientific Research	0.00	0.00	0.58	1/1/17	12/31/21				6/29/16	Submitted	We propose to use a bio-inspired approach to assemble a diverse set of nanoparticle building blocks into reconfigurable, structurally sophisticated, and highly functional colioidal crystals. In particular, nanoparticles densely functionalized with DNA, or other nucleic acids of interest, will be used as programmable atom equivalents (PAEs), where specifically designed sequences are used as "books" to connect. The sequence of the sequences are used as "books" to connect physical structure. Groundbreaking discoveries in the Mirkin group over the last decade with PAEs, enabled by AFOSR-funded research, have established a vast nanoparticle apsec of previously inaccessible nanoparticle-based materials and, in so doing, demonstrated the extraordinary structural control afforded by our nucleic acid-mediated approach to nanoparticle crystalization. In a collaborative basic research effort, we will expland on this unprecedented degree of structural control through the creation of a new class of functional and reconfigurable PAE-based crystals. Specifically, we will synthesize and investigate new types of structural sophisticated architectures that feature the dynamic interactions and responsiveness characteristic of most natural materials. These novel materials are expected to exhibit emergent physical properties that can be tured on- demand and would not be possible on the har force for high- performance structural, optical, magnetic and electronic applications, this research will contribute significantly to advarcing many areas of fundamental science.

## **GM086 - Projects Balances Report**

Similar to GM044 Sponsored Project Portfolio, but with added data fields including ASRSP GCFA Name, Award ID/ Sponsor ID, Start and End dates. Navigation: School > Sponsored Programs Management

NORTHWESTERN UNIVERSITY	GM086 - Projects Balances Report
Date Options         Select a date option         Ourrent Period         Previous Period         Ouser Defined Period         Fiscal Year         Fiscal Year	Department Prompt         Use the radio buttons to select a department(s) from a tree or by ID/description.         Tree         Department Search & Select         Department Tree Node         Select one or more Department Tree Nodes.         Image: Tree Node         Select one or more Department Tree Nodes.
Accounting Period Select an Accounting Period. Accounting Period Accounting Period Manager/Reviewer Search by entering all or part of a Manager/Reviewer Name or NetID. More information: ChartField Managers Job Aid (pdf) Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	
Keywords:         Type one or more keywords separated by spaces.         Search (2)         Options          Results:       Insert →         (Remove)         (Remove)         Select all Deselect all	Deselect all Project Status Use the radio buttons to filter projects based on status.

### Fund

Select one or more Funds.

191 - Mandatory Cost Sharing192 - Over-the-Cap Commitment193 - Volntry Commit (Salary&NonSal)600 - Grants and Contracts610 - Federal Grants and Contracts611 - Federal ARRA Grants& Contracts620 - Federal Flow-Through621 - Federal ARRA Flow-Through630 - State of Illinois Funding640 - Industry Clinical Trials650 - Other Grants and Contracts

### Select all Deselect all

Contract Status

Select one or more Contract Status.

ACTIVE CLOSED PENDING

Select all Deselect all

GCFA

Select one or more GCFAs.

-	
Bernie Hadaway	^
Boetcher, Debora Ann	
Bonnie Schorn	
Bursik,Katherine	
Cheryl Albiniak	
Colleen Hull	
Damon Mui	
Elizabette Rischall	
Elvi Stene	V
Eskedar Alem	
	Boetcher, Debora Ann Bonnie Schorn Bursik, Katherine Cheryl Albiniak Colleen Hull Damon Mui Elizabette Rischall Elvi Stene

Select all Deselect all

Left side:



ASRSP GCFA	Award ID	Fund	Department ID	Department Description	Project ID	Activity ID	PI Name	Activity Start Date	Activity End Date	Project Start Date	Project End Date	Contract Start Date	Contract End Date	Sponsor Name	Refe
-								4/15/11	11/30/11	4/15/11	11/30/11	4/15/11	11/30/11	Rehabilitation Institute Research Corpor	PRES
-								4/15/11	11/30/11	4/15/11	11/30/11	4/15/11	11/30/11	Rehabilitation Institute Research Corpor	PRES
-								7/1/11	6/30/12	7/1/11	6/30/12	7/1/11	6/30/12	University of Illinois at Urbana- Champai	2011 02/D BOB-
-								7/1/11	6/30/12	7/1/11	6/30/12	7/1/11	6/30/12	University of Illinois at Urbana- Champai	2011 02/D BOB-
-								8/1/11	8/31/12	9/1/11	8/31/16	8/1/11	8/31/16	National Eye Institute	1R01 01A1

Report 2 ber 2011 (Open) Fiscal Year: Current Period Accounting Period: Current Period GCFA: Contract Status: Fund: Department: Project PI: Project Status: Active Projects Only

sor • Award	Award Title	CFDA#	CFDA Attribute	Activity Processing Status	Project Status	Contract Status	Contract Type	Budget (A)	Expense (B)	Encumbrance/Requisition (C)	Balance (D) = (A-B-C)	F&A Encumbrance (E)	Final Balance (F) = (D-E)
)	PRESPEND: Prosthesis Control b	93.RD	-	A	Open	PENDING	SPONSORED	\$15,000.00	\$0.00	\$0.00	\$15,000.00	\$0.00	\$15,000.00
)	PRESPEND: Prosthesis Control b	93.RD	-	A	Open	PENDING	SPONSORED	\$15,000.00	\$6,838.52	\$0.00	\$8,161.48	\$0.00	\$8,161.48
76- //11-	Illinois Center for Transporta	20.205	-	A	Open	PENDING	SPONSORED	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
76- //11-	Illinois Center for Transporta	20.205	-	A	Open	PENDING	SPONSORED	\$75,000.00	\$19,374.06	\$3,174.75	\$52,451.19	\$634.95	\$51,816.24
1165-	The Retinal Microenvironment i	93.867	-	A	Open	PENDING	SPONSORED	\$383,444.00	\$64,092.99	\$28,674.03	\$290,676.98	\$10,853.87	\$279,823.11

### **GM090 - Award Projection Report**

This report contains InfoEd awarded direct and indirect budgets prorated to a fiscal year value based on the award status, period start and end dates. Includes Clinical Trial awards with a billing method of "As Incurred". The amounts are then totaled for the fiscal year time frame. This report does not include fixed price clinical trials or actual NUFinancials expenses.

Navigation: InfoEd\_Reports > Projected Proposal/Award Trends

NORTHWESTERN	This report will include As Incurred clinical trials, but will not contain Fixed Price clinical trials since th actual NU Financial expenses. Grant budget period award amounts are prorated to a weekly value based	GM090 - Award Projection Report ese projects are budgeted on a per patient basis instead of by budget period. Also, this report contains InfoEd on budget period start and end dates and totaled for the fiscal year time frame. The calculated award amount fiscal period the budget period spans.
Date Option         Fiscal Year         Select a range of Fiscal Years.         Select Start Year         Select End Year         Select End Year         Select End Year         Select End Year         Select Start Year         Select End Year         Select End Year         Select Start Year         Select End Year         Search for one or more Parent Institution Numbers below.         Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.         Keywords:         Type one or more keywords separated by spaces.         Search Colors         Octions          Results:         Insert ◆         Remove		Department Hierarchy Please choose one or more department nodes in the department hierarchy below. Image: Image: Im

Select all Deselect all	Select all Deselect all	Investigator Name Search for one or more investigators by entering the	ir first or last names.
Proposal Type Select one or more Proposal Types from	n the list below.	Tip: Only the first 300 results can be displayed. Enter mo below and search again to reduce the number of search r	
Competitive Renewal Continuation New Non-Funded Negotiations Preliminary Proposal Resubmission Revised Supplemental Request		Keywords:         Type one or more keywords separated by spaces.         Search          Qotions          Results:         Insert          Remove	Choice:
Select all Deselect all			
Proposal Status Select one or more Proposal Statuses f	rom the list below.		
Admin Shell Incomplete Admin Shell Review Complete Award Pending COI Determination Award Nuder OSR Review Award Under OSR-Ev GO Review Award Under OSR-Ev GO Review Award Waiting Department Awarded Awarded QA Check Complete Department Requests Revisions Funded On Main <u>Select all Des</u>	elect al	Select all Deselect all Award Status Select one or more Award Statuses from the list below Active - with Restrictions Active-Award Anticipated Outyear Funded Outyear Option Period Pre-Spending	Select all Deselect all
		Select all Deselect all	



Parent Institution Number: All Proposal Type: All Proposal Status: All Department: All Investigator Name: Ho Award Status: All

Award Projection Report Between 2014 and 2017 This report will include As Incurred clinical trials, but will not contain Fixed Price clinical trials since these projects are budgeted on a per patient basis instead of by budget period. Also, this report contains InfoEd awarded directs and indirects only. It does not include carry forward balances or actual NU Financial expenses. Grant budget period award amounts are prorated to a weekly value based on budget period start and end dates and totaled for the fiscal year time frame. The calculated award amounts on this report will not tie out to any other InfoEd reports unless totaled for each fiscal period spans.

															FY -	2014	FY -	2015	FY -	2016	FY -	2017
															Directs	Indirects	Directs	Indirects	Directs	Indirects	Directs	Indirects
Parent Institution Number	Subproject Institution Number	Dept ID	PS Project ID	Main PI	Department Description	Proposal Type	Proposal Status	Originating Sponsor Type	Sponsor	Award status	Award Start Date	Award End Date	Project Start Date	Project End Date								
		-				New	Awarded QA Check Complete	INDUS		Active- Award	4/1/16	3/31/19	4/1/16	3/31/19					\$2,095.90	\$0.00	\$5,000.02	\$0.00
						Continuation	Award	FED		Active- Award	5/1/16	4/30/17	5/1/16	4/30/18					\$59,718.36	\$32,546.47	\$117,494.65	\$64,034.51
							Setup			Anticipated Outyear	5/1/17	4/30/18	5/1/16	4/30/18							\$59,718.36	\$32,546.47

### **GM091 - Sponsor Payments Received Report**

This report presents details of payments received by contract, with a breakout by project. Payment activity includes all forms of payments as well as a subset of write-offs and other maintenance items. Note: For converted non-clinical awards, payment data prior to December 2008 is not available.

Navigation: School > Sponsored Programs Management

	GM091 - Sponsor Payments Received Report
NORTHWESTERN UNIVERSITY	
Award Select one or more Awards.	Project Status Use the radio buttons to filter projects based on status.
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	Active Projects     Active Projects Only
Keywords: Type one or more keywords separated by spaces. Search 3	Oractive Projects Only     Project     Select one or more Projects.
Cations ∀ Results: Choice:	Select one or more Projects. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.
Insert	Keywords:       Type one or more keywords separated by speces.       Search (2)
	Options ⊌ Results: Choice: Insert  ↓
Select al Deselect al	Remove
	Select al Deselect al Select al Deselect al
<u>Award PI</u> Select one or more Award PIs,	Project PI Select one or more Project PIs.
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	Tip: Only the first Diversities and the subscription below and search again to reduce the number of search results.
Keywords: Type one or more keywords separated by spaces.	Keywords: Type one or more keywords separated by spaces.
Options ×	Options V
Results: Ohoice:	Results: Choice:
Remove	Remove
Select all Deselect all Select all Deselect all	Select all Deselect all Select all Select all

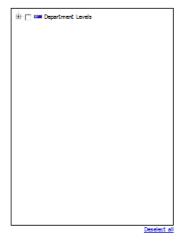
Second page of Optional Prompts:



Department Prompt Use the radio buttons to select a department(s) from a tree or by ID/description.



Department Tree Node Select one or more Department Tree Nodes.



GM091 - Sponsor Payments Received Report





# Sponsor Payments Received Report Note: For converted non-clinical awards, payment data prior to December 2008 is not available.

Award PI: All Project Status: All Projects Project: All Project PI: All Department: All Sponsor: All

Award:

Award ID	Project	Project Title	Award PI	Project PI	Sponsor	Sponsor Award Number	Deposit Date	Posted Date	Entry Type	Invoice	Payment ID	Amount	Total Budget	Total Expenses
		-	- Sec. of Co.		-	-	8/31/12	9/5/12	PY	NU00133578	2550067	\$3,500.00		
							9/21/12	9/25/12	PY	NU00136256	2552913	\$4,398.30		
							1/3/13	1/7/13	PY	NU00148615	2567199	\$3,296.80		
							12/27/12	1/8/13	PY	NU00149407	2566008	\$48,430.92		
							2/25/13	3/27/13	PY	NU00158321	2573514	\$5,812.65		
							6/4/13	6/6/13	PY	NU00165068	2586732	\$4,987.66		
									Tota	for Project		\$70,426.33	\$129,650.00	\$61,721.77
Entry Types.	: PY = Paym	ient, RF = Rei	fund, WO = Write	e-off, WO	C = Write-off	Credit		1	rotal fo	r Contract		\$70,426.33	\$129,650.00	\$61,721.77

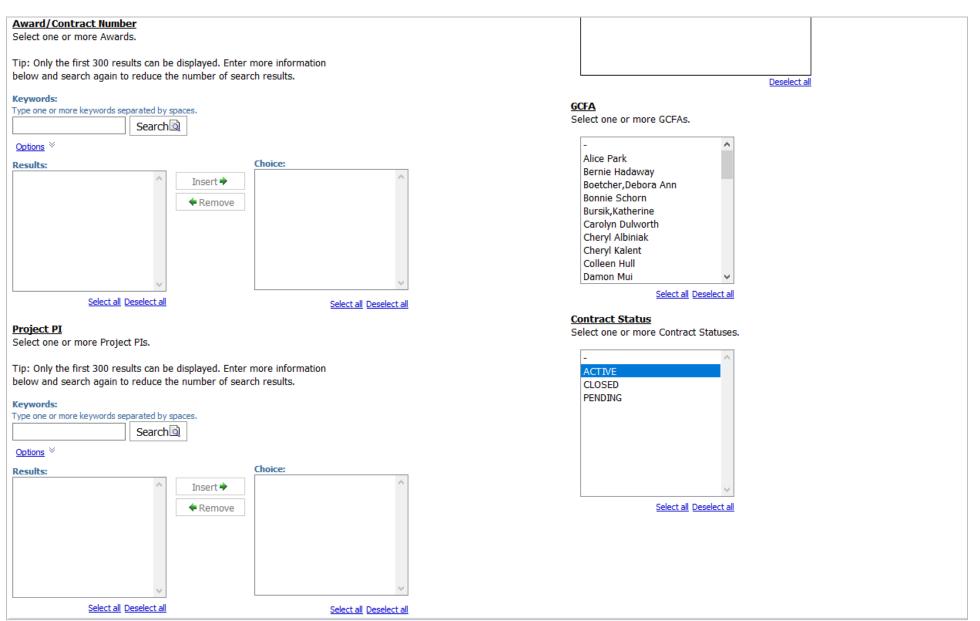
# **GM092 – Subcontract Monitoring Report**

The GM092 includes a complete list of fully-executed subcontracts, with current status for funding, disbursement, and burn rates (percent disbursed). Subcontracts will not be listed on the report until the IE Executed date is populated in InfoEd.

Navigation: School	> Sponsored	Programs	Management
5			

Northwestern	GM092 - Subcontract Monitoring Report
Subk End Date Select a Subk start and end date to view	<u>Department Prompt</u> Use the radio buttons to select one or more departments from a tree or by ID/description.
Subk end date information that fall within the selected date range.	* Tree Department Search & Select
From Subk End Date           Jan 1, 1901         Imr         User Defined To Date         ~	Department Tree Node Select one or more Department Tree Nodes.
To Subk End Date	💮 – 🦳 🚥 All Departments
Dec 31, 2099	
Award End Date Select a Award End from and to date to view award end date information that fall within the selected date range.	
From Award End Date	
Jan 1, 1901 User Defined To Date	✓
To Award End Date Dec 31, 2099	
Award/Contract Number Select one or more Awards.	
Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.	Deselect all

## Second page of Optional Prompts:



# Northwestern

Subcontract Monitoring Report Subk End Dates: Between Jan 1, 1901 and Oct 18, 2048 Award End Dates: Between Jan 1, 1901 and Oct 18, 2048

Award ID	Contract Status	Sponsor Award Number	FAIN	FDP/RTC	Automatic Carryforward to NU	Award PI	Project PI	Award Begin Date	Award End Date	Fund	Project Department	Project Department Name	Project *	LOC ID	Bill Source	GCFA	GO	IE Subrecipient
SPOC	ACTIVE	5P60AR064464- 05	P60AR064464	Yes	No		Personal States	8/1/16	7/31/18	610	5328000	Rheumatology		6455P	CHECAGO	Lorilei Cooley	Mary Rosenthal	Ann & Robert H. Lurie Children's Hospital of Chicago
SPO	ACTIVE	5P60AR064464- 05	P60AR064464	Yes	No		Contraction of the local division of the loc	8/1/16	7/31/18		5328000	Rheumatology		6455P	CHECAGO	Lorilei Cooley	Mary Rosenthal	Rehabilitation Institute of Chicago
SP0(	ACTIVE	5P60AR064464- 05	P60AR064464	Yes	No		-	8/1/16	7/31/18	610	5328000	Rheumatology		6455P	CHICAGO	Lorilei Cooley	Mary Rosenthal	Ohio State University
SP0(	ACTIVE	5P60AR064464- 05	P60AR064464	Yes	No			8/1/16	7/31/18	610	5328000	Rheumatology		6455P	CHICAGO	Lorilei Cooley	Mary Rosenthal	
SP0	ACTIVE	5P60AR064464- 05	P60AR064464	Yes	No			8/1/16	7/31/18		5328000	Rheumatology		6455P	CHECAGO	Lorilei Cooley	Mary Rosenthal	Rush University Medical Center
SPO	ACTIVE	5P60AR064464- 05	P60AR064464	Yes	No			8/1/16	7/31/18	610	5328000	Rheumatology		6455P	CHICAGO	Lorilei Cooley	Mary Rosenthal	

### Award/Contract Number: SP0039128 - NIAMS Multidisciplinary Clinic Project PI: All Department: All GCFA: All Contract Status: ACTIVE

IE Subrecipient	IE Vendor ID	PS Subrecipient	PS Vendor ID	IE PROJ **	IE Executed	IE Subk Begin Date	IE Subk End Date	IE Cumulative Funded Amt	PS PO Total	PS Funds Disbursed	PS % Disbursed
Ann & Robert H. Lurie Children's Hospital of Chicago	0000021511	ANN & ROBERT H LURIE CHILDRENS HOSPITAL	0000021511	PRO30011193	11/11/16	8/1/16	7/31/17	\$3,498.00	\$3,498.00	\$3,498.00	100.00%
Rehabilitation Institute of Chicago	0000021579			PR030011194	8/25/16	8/1/16	7/31/17	\$3,840.00			
Ohio State University	0000020644	OHIO STATE UNIVERSITY	0000020644	PROJ0011195	9/6/16	8/1/16	7/31/17	\$11,579.00	\$11,579.00	\$9,305.73	80.37%
		REHABILITATION INST RES CORP	0000016201						\$3,840.00	\$3,840.00	100.00%
Rush University Medical Center	0000021519			PROJ0011196	11/9/16	8/1/16	7/31/17	\$10,707.00			
		RUSH UNIVERSITY MEDICAL CENTER	0000016010						\$10,548.62	\$10,548.62	100.00%

### **GM093 – Cost Share Commitment Status**

Presents revenue and expense data from NUFinancials Commitment Control for sponsored projects with cost sharing. It grants users a multi-year view of budget, revenue and expense on cost share funds, which elsewhere is available only on a single fiscal year basis. It also provides a comprehensive view of all departments committing cost share funds to a given sponsored project. Navigation: School > Sponsored Programs Management

NORTHWESTERN UNVERSITY	GM093 - Cost Share Commitment Status
Date Options      Reporting Period Use the radio button to select a period for which the report should be run.      Desption To Date     Priced Year & Accounting Period      From Date      Fiscal Year     Select a Fiscal Year      Cocounting Period      Fiscal Year      Select a Accounting Period      Fiscal Year      Select a Accounting Period      To Fiscal Year      (     To Fiscal Year      (     To Accounting Period      Select a Accounting Period      Select a Accounting Period      (     To Accounting Period      Select a Accounting Period      Select a Accounting Period      (     To Ac	Department Prompt         Use the radio buttons to select one or more departments from a tree or by ID/description.
Award Select one or more Awards. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results. Keywords:	Desident all Project Status Use the radio buttons to filter projects based on status.  All Projects  All Projects  All Projects Only  Tractive Projects Only  Tractive Projects Only
Type oer more keywords separated by spaces.	Project Search by entering all or part of a Project ID or its tible. Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results. Keywords: Type one or more keywords separated by spaces. Search Comparison Search Search Search Search Search Search Comparison Se
Select all         Select all           Optional Columns	Safect all Deselect all



# Cost Share Commitment Status Inception To Date

Contract: All Department: All Project Status: Active Projects Only Project:

and	Department -	Project	Award ID	Award PI	Sponsor	Project Title	Award Period	Fiscal Year	Budget	Transfers	Enc/PreEnc	Balance
	4011800	60031188	SP0011079		Temple University	Genther: SILC: Admin 10/1/11 - 9/30/16 2015		\$635.00	\$635.00	\$0.00	\$0.00	
							193 - 4	011800 - 60031188	\$1,176.00	\$1,176.00	\$0.00	\$0.00
	4024200	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/16	2014	\$12,577.00	\$12,577.00	\$0.00	\$0.0
	4024200	60031188	SP0011079		Temple University	Genther: StLC: Admin	10/1/11 - 9/30/16	10/1/11 - 9/30/16 2015		\$13,986.00	\$0.00	\$0.0
							193 - 4	1024200 - 60031188	\$26,563.00	\$26,563.00	\$0.00	\$0.00
	4180000	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/16	2014	\$5,078.00	\$0.00	\$0.00	\$5,078.00
	4180000	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/16	2015	\$5,565.00	\$0.00	\$0.00	\$5,565.00
							193 - 4	180000 - 60031188	\$10,643.00	\$0.00	\$0.00	\$10,643.00
	4703000	60031188	SP0011079		Temple University	Gentner: STLC: Admin	10/1/11 - 9/30/16	2014	\$7,852.00	\$7,852.00	\$0.00	\$0.00
	4703000	60031189	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/16	2015	\$7,005.00	\$7,005.00	\$0.00	\$0.00
							193 - 4	703000 - 60031188	\$14,857.00	\$14,857.00	\$0.00	\$0.00
	4738000	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/16	2014	\$7,852.00	\$0.00	\$0.00	\$7,852.00
	4738000	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/16	2015	\$7,005.00	\$7,852.00	\$0.00	(\$947.00
							193 - 6	738000 - 60031188	\$14,857.00	\$7,852.00	\$0.00	\$7,005.00
	9011200	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/16	2014	\$47,013.00	\$47,013.00	\$0.00	\$0.00
	8011200	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/16	2015	\$48,815.00	\$48,815.00	\$0.00	\$0.00
							193 - 1	8011200 - 60031188	\$95,828.00	\$95,828.00	\$0.00	\$0.00
								Revenue	\$355,012.00	\$350,406.00	\$0.00	\$4,606.00

### Account Type: Expense

Fund	Department	Project	Award ID	Award PI	Sponsor	Project Title	Award Period	Fiscal Year	Budget	Expense	Enc/PreEnc	Balance
191	8254100	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/1	16 2012	\$101,450.00	\$32,791.97	\$0.00	\$68,658.03
	8254100	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/1	16 2013	\$61,901.00	\$95,336.71	\$0.00	(\$33,435.71
	8254100	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/1	16 2014	\$0.00	\$34,471.71	\$0.00	(\$34,471.71)
	8254100	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/1	16 2015	\$0.00	\$547.42	\$0.00	(\$547.42)
							191 -	- 8254100 - 60031188	\$163,351.00	\$163,147.81	\$0.00	\$203.19
193	8254100	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/1	16 2014	\$94,030.00	\$64,319.34	\$0.00	\$29,710.66
	8254100	60031188	SP0011079		Temple University	Gentner: SELC: Admin	10/1/11 - 9/30/1	16 2015	\$97,631.00	\$75,022.94	\$0.00	\$22,608.06
	8254100	60031188	SP0011079		Temple University	Gentner: SILC: Admin	10/1/11 - 9/30/1	16 2016	\$0.00	\$4,365.59	\$18,255.57	(\$22,621.16)
							193	- 8254100 - 60031188	\$191,661.00	\$143,707.87	\$18,255.57	\$29,697.56
								Expense	\$355,012.00	\$306,855.68	\$18,255.57	\$29,900.75

# **GM094 – Cost Share Journal Details**

Presents a detailed list of revenue transfers made to support cost share expenditures, as well as budget journals used to establish revenue budgets for departments contributing funds to support the cost sharing. It may be run independently or via a drill on project id from the GM093. Navigation: School > Sponsored Programs Management

NORTHWESTERN	GM094 - Cost Share Journal Details
expering Period the radio button to select a period for which the report should be run. Tracetion To Date Facal Year & Accounting Period and ict one or more Awards. Only the first 300 results can be displayed. Enter more information	Department Prompt Use the radio buttons to select one or more departments from a tree or by ID/description. Tree Department Search & Select Department Tree Node Select one or more Department Tree Nodes. Tree All Oppartments
w and search again to reduce the number of search results. words: one or more keywords separated by space. Search and the search again to reduce the space. The search again to reduce the space. Choice: Free move Free move Scient all Developt all Scient all Developt all	Project Status Use the radio buttons to filter projects based on status.
	All Projects     Active Projects Only     Troiect      Search by entering all or part of a Project ID or its title.     Tip: Only the first 300 results can be displayed. Enter more information     below and search again to reduce the number of search results.      Keywords:     Type one or more keywords reparated by spaces.     Search      Search      Search      Results:     Choice:
	insert     Remove     Select al Deselect al     Select al Deselect al



### Cost Share Journal Details Inception To Date



### ACTUALS JOURNALS:

Fund	Department ID	Project	Account	Amount	Department Name	Award ID	Award PI	Project PI	Journal ID	Journal Date	Journal Description	Fiscal Year	Accounting Period	Status	Created By
91	4001510	60031188	88802	(\$13,746.00)	Matching Funds	SP0011079			0000195491	8/8/12	TFR; ;; FY12 WCAS cost share commitment to Prof Gentner project 60031188	2012	12	Posted	
91	4001510	60031188	88802	(\$8,465.00)	Matching Funds	SP0011079			0000239173	5/3/13	TFR; ;; FY13 WCAS cost share commitment to Prof Gentner project 60031188	2013	09	Posted	
13	4001510	60031188	88802	(\$13,117.00)	Matching Funds	SP0011079			0000290269	4/2/14	TFR; ;; FY14 WCAS cost share commitment to Prof Gentner project 60031188	2014	08	Posted	
3	4001510	60031188	88802	(\$14,620.00)	Matching Funds	SP0011079			0000349715	4/16/15	TFR; ;; FY15 WCAS cost share commitment to Prof Gentner project 60031188	2015	08	Posted	
1	4011800	60031188	88802	(\$558.00)	Statistics	SP0011079			0000199851	8/27/12	TFR; ;; FY12 cost share from Dept of Statistics to Profs Gentner/Hedges; \$558 from 110-4011800 to 191-4011800-60031188-01	2012	12	Posted	
1	4011800	60031188	88902	(\$570.00)	Statistics	SP0011079			0000213242	11/6/12	TFR; ;; PY13 cost share from Dept of Statistics to Profs Gentner/Hedges; \$570 from 110-4011800 to 191-4011800-60031188-01	2013	03	Posted	
3	4011800	60031188	88802	(\$541.00)	Statistics	SP0011079			0000309442	8/4/14	TFR; ;; FY14 cost share from Dept of Statistics to Profs Gentner/Hadges; \$541 from 110-4011800 to 191-4011800-60031188-01	2014	12	Posted	
33	4011800	60031188	88902	(\$535.00)	Ratistics	SP0011079			0000366780	8/6/15	TFR; ;; PY15 cost share from Dept of Statistics to Profs Gentner/Hedges; \$635 from 110-4011800 to 193-4011800-60031188-01	2015	12	Posted	
1	4024200	60031188	88802	(\$13,189.00)	Psychology	SP0011079			0000198541	8/21/12	TFR; ;; FY12 costshare commitment from Psych depart to Dedre Gentner To: 191-4024200-60031188 from: 171-4024200-10002977	2012	12	Posted	
1	4024200	60031188	88802	(\$8,125.00)	Psychology	520011079			0003237331	4/24/13	TFR; ;; FY2013 Gentner SELC cost sharing. Year 2 of 5 year commitment from Psychology	2013	08	Posted	
3	4024200	60031188	88802	(\$12,577.00)	Psychology	\$20011079			0000303523	7/2/14	TFR; ;; FY14 Year 3 of 5 transferring funds to cover Voluntary Committed Cost sharing for 60031188,Gentner, SLC	2014	11	Posted	
93	4024200	60031188	88802	(\$13,985.00)	Psychology	SP0011079			0000353541	7/21/15	TFR: :: FY15: Year 4 of 5 Psych cost share contribution to Gentner SLC from: 121-4024200-0002877-01 (c): 139-402400-6003186-01	2015	11	Posted	
11	4180000	60031188	88802	(\$5,174.00)	SESP Research Activities	SP0011079			0000198025	8/17/12	TFR; ;; FY12 (year 6) commitment from SESP to SELC. This is year 1 of 5 year commitment from SESP.	2012	12	Posted	
1	4180000	60031188	88802	(\$5,388.00)	SESP Research Activities	SP0011079			0003248953	7/16/13	TFR; ;; FY13 (year 7) commitment from SESP to SEC. This is year 2 of 5 year commitment from SESP.	2013	11	Posted	
t	4180000	60031188	88802	(\$10,643.00)	SESP Research Activities	SP0011079			0000351644	4/30/15	TFR; ;; FY14-15 (year 8-9) commitment from SESP to SLC. This is year 3 and 4 of 5 year commitment from SESP.	2015	08	Posted	
1	4703000	60031188	88802	(\$9,029.00)	McCormick Research Admin	SP0011079			0000209325	10/9/12	TFR; ;; Dearl's Office Cost Share Commitment to Prof. Ken Forbus' SELC (FY12 Year 1)	2013	02	Posted	
91	4703000	60031188	88802	(\$5,401.00)	McCarmick Research Admin	SP0011079			0000242174	5/28/13	TFR; ;; Gentner's (Fortus and Ankermann) Spatial Intelligence and Learning Center (SILC). McConnick Dearls Office commitment YR 2.	2013	09	Posted	
93	4703000	60031188	88802	(\$7,852.00)	McCormick Research Admin	SP0011079			0000304539	7/8/14	TTR; ;; Gentner's (Forbus and Ankenmann) Spatial Intelligence and Learning Center (SILC). McCormick Dearl's Office commitment YR 3.	2014	11	Posted	
93	4703000	60031188	88802	(\$7,005.00)	McCormick Research Admin	SP0011079			0000363100	7/17/15	TFR; ;; Gentner's (Forbus and Ankenmann) Spatial Intelligence and Learning Center (SILC). McConnick Dearls Office commitment YR 4.	2015	11	Posted	
91	4738000	60031188	88802	(\$9,029.00)	Bect Eng. & Comp. Sci. (EECS)	SP0011079			0000198277	8/20/12	TFR; ;; Cost share agreement with SLLC. (EECS/K. Forbus)	2012	12	Posted	

## **GM095 – Clinical Trial Actuals Balance Report**

Used by PI's, Department Administrators, Schools, and ASRSP to review the actual balances for Clinical Trial contracts by fiscal year end and inception-to-date on direct cost expenses with and without F&A, Encumbrances, payments received, budget amount and Open A/R along with some contract demographic data. Navigation: School > Sponsored Programs Management

Northwestern GM095 - Clinical Trial Actuals Balance Report     Sets of sides update   Sets of sides update   Outer before   Outer before   Sets of sides update   Sets of sides update <th>Date Options         Select a date option         Use the radio buttons to select one or more department</th> <th>s Balance Report</th>	Date Options         Select a date option         Use the radio buttons to select one or more department	s Balance Report
Select 4 date splons   Use the radio buttons to select one or more departments from a tree or by ID/description.   Find Vers      Eacl Vers   Facal Vers   Accounting Period.   Accounting Period.   Select A find splot.   Accounting Period.   Select A find splot.   Accounting Period.   Select A find splot.   Accounting Period.   Select A gain to reduce the number of search results.   Region Vers   Second Splot.   Second Splot.   Concerned Splot.   Nagger/Reviewer   Second Splot.   Second Splot.   Concerned Splot.   Second Splot.   Concerned Splot. Second Splot. <	Select a <u>date option</u> Use the radio buttons to select one or more department	
below and search again to reduce the number of search results.   Keywords:   Type one or more keywords separated by spaces.   Search @   Options *     Results:     Insert •   •   Remove     •   •   •   Remove     • <th>Previous Period   ● User Defined Period     Fiscal Year   Select a Fiscal Year.   Fiscal Year     Accounting Period   Select an Accounting Period.   Select an Accounting Period.   Accounting Period     Select an Accounting Period.     Manager / Reviewer   Search by entering all or part of a Manager/Reviewer Name or NetID.</th> <th>eents from a tree or by ID/description.</th>	Previous Period   ● User Defined Period     Fiscal Year   Select a Fiscal Year.   Fiscal Year     Accounting Period   Select an Accounting Period.   Select an Accounting Period.   Accounting Period     Select an Accounting Period.     Manager / Reviewer   Search by entering all or part of a Manager/Reviewer Name or NetID.	eents from a tree or by ID/description.
Select all Deselect all Select all Oeselect all	Keywords:   Type one or more keywords separated by spaces.   Options      Results:     Insert •   • Remove     • Remove     Deselect     Project Status   Use the radio buttons to filter projects based on state     • All Projects	

### Award ID

Select one or more Award IDs.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.

Project Select one or more Projects.

Tip: Only the first 300 results can be displayed. Enter more information below and search again to reduce the number of search results.



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Northwestern		GM095 - Clinical Tr	ial Actuals Balance Report
Award PI Select one or more Award PIs.		Sponsor Select one or more Sponsors.	
Tip: Only the first 300 results can be displayed. Enter more below and search again to reduce the number of search re		Tip: Only the first 300 results can be below and search again to reduce th	
Keywords:         Type one or more keywords separated by spaces.         Search          Options		Keywords:         Type one or more keywords separated by search         Search         Options	-
Results: Choi	<u>ce:</u>	Results:	Choice:
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# Northwestern

### Clinical Trial Actuals Balance Report For Fiscal Year 2017 As of Accounting Period 1 - September 2016 (Open)

Award 1D	Project ID	Project PI	EMPLID	Sponsor Award Number	Department ID	Department Description	Sponsor Name	Originating Sponsor Name	Award Tibe	Award Begin Date	Award End Date	Award Status	F8A Rate	Budget Amount	Total Payments Applied ( B )	FY Direct Exp (F&A Base) (C)
						Contraction of the local division of the loc	Sec.	The second second		7/17/1996	3/9/2011	Closed Contract	35.00%	\$94,000.00	\$84,000.00	\$0.00
										7/1/1994	6/20/2006	Ciosed Contract	0.00%	\$1,068,954.00	\$1,068,954.00	\$0.00
										12/2/1996	12/8/2008	Closed Contract	0.00%	\$7,600.00	\$7,600.00	\$0.00
										3/7/1997	3/12/2010	Closed Contract	35.00%	\$156,793.54	\$156,793.65	\$0.00
										3/1/1997	11/8/2010	Closed Contract	0.00%	\$534,956.00	\$534,956.00	\$0.00
			11						Ϋ́							

	Jurrent Period II II II Projects II II	Fiscal Year: C ding Period: C /Reviewer: A Award ID: A epartment: A ect Status: A Project: A Award PI: A Sponsor: A	Account Manager									
Award Description	Projected Total Balance (L + N = O)	Open A/R (N)	Clinical Trial Balance Less F&A (L/1 + F&A Rate = M)	Clinical Trial Balance (B-J-K=L)	Direct Cost and F&A Enc/PreEnc (K)	Incep-TD Total Exp (G+H+1=J)	Incep-TD F&A Exp (1)	Incep-TD Direct Exp (Non F&A Base) (H)	Incep-TD Direct Exp (F8A Base) (G)	FY Total Exp (C+D+E=F)	FY F&A Exp(E)	FY Direct Exp Non F&A Base) (D)
	(\$862.78)	\$0.00	(\$639.10)	(\$862.78)	\$0.00	\$84,862.78	\$21,556.56	\$0.00	\$63,306.22	\$0.00	\$0.00	\$0.00
	\$432,839.71	\$0.00	\$432,839.71	\$432,839.71	\$0.00	\$636,114.29	\$131,261.68	\$504,852.61	\$0.00	\$0.00	\$0.00	\$0.00
	\$6,925.00	\$0.00	\$6,925.00	\$6,925.00	\$0.00	\$675.00	\$175.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00
	(\$845.45)	\$0.00	(\$626.26)	(\$845.45)	\$0.00	\$157,639.10	\$40,433.39	\$0.00	\$117,205.71	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$534,956.00	\$0.00	\$534,956.00	<b>\$</b> 0.00	\$0.00	\$0.00	\$0.00